



First Quarter Fiscal Year 2019-20 Project Delivery Report

**Quarterly Report to the
California Transportation
Commission**



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Executive Summary

Introduction

The California Department of Transportation (Caltrans) delivers transportation capital programs that preserve, protect, and enhance performance of the state highway system. Operational improvement projects help the existing highway system function more efficiently. System preservation projects, such as bridge rehabilitation and pavement rehabilitation, help the highway system last longer and decrease maintenance costs. Safety projects reduce fatalities and serious injuries resulting from traffic accidents. System expansion projects reduce congestion by adding lanes or constructing new highways.

Purpose

This report provides project delivery information on transportation projects for which Caltrans was fully responsible for development and construction management.

Performance Measures

Measuring and reporting performance on project milestones shows how well Caltrans is meeting its commitments to deliver projects as promised in its primary work programs: the State Transportation Improvement Program (STIP), the State Highway Operation and Protection Program (SHOPP), and for locally funded projects where Caltrans is the implementing agency.

Project Delivery Performance Measure Summary – 1st Quarter Fiscal Year 2019-20								
Measure	Year-To-Date thru 1st Quarter			Annual Commitment		Year-End Projection		Last Five Fiscal Year Average
	Completed	Plan	Percent	Plan	Percent	Forecast	Percent	Percent
Project Approval, Environmental Documents								
Draft Environmental Documents Completed	7	11	64%	109	6%	97	89%	79%
Projects Approved	41	47	87%	268	15%	261	97%	90%
Right of Way: Projects Certified								
Projects Certified	34	34	100%	304	11%	294	97%	97%
Delivery: Projects Designed and Ready for Construction								
Projects Designed and Ready for Construction	27	26	104%	313	9%	313	100%	98%
Capital Value Ready for Allocation (millions)	\$216	\$652	33%	\$4,947	4%	\$4,839	98%	98%
Construction: Projects Constructed								
Projects Constructed	46	19	242%	184	25%	175	95%	88%
Closeout Costs								
State Transportation Improvement Program Costs (millions)	\$749	\$726	103%	NA	NA	NA	NA	95%
State Highway Operation and Protection Program Costs (millions)	\$413	\$451	92%	NA	NA	NA	NA	91%

Project Watch List

The Project Watch List identifies projects deemed "at risk" for budget overruns or schedule delays. Projects are continuously monitored and brought to the attention of managers and transportation stakeholders to resolve or minimize issues affecting the budget, scope, or schedule.

The project watch list will change from one quarter to another (projects dropped or added) as supplemental funds are approved, budget risks are mitigated, and schedule risks are resolved. Since the report is prepared quarterly, and in order to keep projects on track to award, projects that have not been included on the watch list may require supplemental funds requests between reports. While this report is intended to reflect information at the end of the reporting period, information for narratives is updated up to the time the report is published to provide the most accurate information possible. The Watch List is current as of November 22, 2019.

Budget (Supplemental Funds) and Delivery Risks

Caltrans balances risk in project budgeting with the need to ensure that an appropriate mix of projects are brought forward in sufficient quantities to use its annual federal obligation authority and other available transportation funding effectively. Complete and reasonable estimates are necessary to avoid undesired consequences, including loss of federal or local funds. Before presenting capital or capital outlay support (COS) budget change requests to the Commission, Caltrans thoroughly examines each request to validate costs and evaluate options. A summary of current budget risks is provided in the table below.

Summary of Potential Supplemental Funds

Budget Risk Type	Projects	Programmed Budget (millions)	Estimated Risk \$ (millions)	Potential Date
Pre-Construction – 28 of 1,143 Total Projects or 2%				
COS Supplementals	15	\$31	\$30	Within 6 months
Greater Than 120% Allocations	11	\$172	\$56	Within 6 months
Supplementals to Award	2	\$12	\$3	Within 6 months
During Construction – 35 of 853 Total Projects¹ or 4%				
COS Supplementals	14	\$177	\$43	Within 1 year
Supplementals to Complete Construction	20	\$788	\$53	Within 1 year
Partnership Projects - Local Agency Implementing Agency	1	\$45	\$25	After completion
Post-Construction – 9 of 1,097 Total Projects or <1%				
Supplementals to Closeout	8	\$181	\$12	After completion
Right of Way Adjustments	1	\$5	\$4	After completion
Total	72	\$1,411	\$226	
Total Risks Versus Active Projects: 72 of 2,743² or 3%				

¹ The total number of projects in Construction as of September 2019, HQs Division of Construction.

² 2,743 is the total number of authorized projects as of October 2019, Statewide Delivery Plan, HQs Division of Project Management.

County	Route	Description	Program	Programmed Budget (\$1,000s)	Risk Component	Risk Level	Risk Trend	Risk Description
Pre-Construction-Delivery Delays: 47 Projects at risk of missing the FY 19/20 (and beyond) delivery years								
SB	101	Gaviota Culvert Replacement	SHOPP	12,413	Fiscal Year Delivery	Very High	Increased	Design changes due to Coastal Commission requirements. Residual delays from permitting agencies due to Federal government shutdown in early 2019.
SON	121	SON-121 WIDENING, RUMBLE STRIPS, & CURVE CORRECTION	SHOPP	51,031	Fiscal Year Delivery	Very High	New	Project requires 24 parcel acquisitions and relocation of 93 utility poles.
SOL	084	Miner Slough	SHOPP	38,482	Fiscal Year Delivery	Very High	New	Army Corps. permit delays.
BUT	162	162 Road Widening	SHOPP	22,352	Fiscal Year Delivery	Very High	New	The project has 38 parcels having high risks for right of way certification.
DN	101	Dr. Fine Bridge Replacement	SHOPP	10,942	Fiscal Year Delivery	Very High	Same as Previous	CA Fish and Wildlife requires an EIR.
PLA	049	American Canyon Roundabout	SHOPP	8,919	Fiscal Year Delivery	High	New	Utility maps have been delayed due to delay in acquiring right of way entry from Railroad. Utility maps also revealed conflicts with PG&E overhead electrical lines and PG&E gas lines. Relocation plans are expected to be delayed due to PG&E public safety power shutoffs.
HUM	036	Alton 015 Shoulder Widening	SHOPP	13,878	Fiscal Year Delivery	High	New	Involves right of way acquisition and utility relocation.
ALA	680	REHABILITATE PAVEMENT	SHOPP	142,128	Fiscal Year Delivery	High	New	Potential need to split the south bound portion of the project and combine it with a locally sponsored express lane project for delivery in FY 21/22. A time extension request is anticipated.
LA	605	605/Live Oak Ramps	SHOPP	7,445	Fiscal Year Delivery	High	Same as Previous	Involves right of way acquisition and utility relocation.
HUM	299	Willow Creek Widen & Rumble	SHOPP	17,278	Fiscal Year Delivery	High	New	Involves the need for topographic surveys to address environmental and design issues.
ORA	133	12-0N060 Rte133-Widening	SHOPP	8,141	Fiscal Year Delivery	High	New	Construction strategy requires purchase and exchange of right of way to satisfy environmental agreements.
ORA	133	12-0Q360 Drainage System Restoration	SHOPP	3,283	Fiscal Year Delivery	High	New	Construction strategy requires purchase and exchange of right of way to satisfy environmental agreements.
ORA	001	12-0M820 PCH Laguna Beach ADA (N.)	SHOPP	8,740	Fiscal Year Delivery	High	New	Involves right of way acquisition of 68 parcels.
SLO	001	Piedras Blancas Offsite Mitigation Project	SHOPP	12,069	Fiscal Year Delivery	High	Same as Previous	Right-of-entry challenges might result in the need to re-negotiate with the regulatory agencies for a new location for mitigation.

County	Route	Description	Program	Programmed Budget (\$1,000s)	Risk Component	Risk Level	Risk Trend	Risk Description
HUM	255	H-BAM	STIP	17,319	Fiscal Year Delivery	Medium	New	Involves on-going negotiations with permitting agencies on mitigation site design.
SCL	017	SCL 17 CAPM	SHOPP	63,806	Fiscal Year Delivery	Medium	New	Utility maps have been delayed due to pending non-disclosure agreement with PG&E.
SCL	101	SCL 101 Br. Rail Replacement/Upgrade	SHOPP	20,120	Fiscal Year Delivery	Medium	New	Utility maps have been delayed due to pending non-disclosure agreement with PG&E.
SCL	VAR	SCL, VAR, Sub-Structure Rehab.	SHOPP	5,739	Fiscal Year Delivery	Medium	New	Utility maps have been delayed due to pending non-disclosure agreement with PG&E.
SON	012	SON 12 Bridge Scour Mitigation	SHOPP	25,289	Fiscal Year Delivery	Medium	New	Utility maps have been delayed due to pending non-disclosure agreement with PG&E.
SM	101	SM-101 Pavement Preservation	SHOPP	25,047	Fiscal Year Delivery	Medium	New	Utility maps have been delayed due to pending non-disclosure agreement with PG&E.
KER	184	Kern 184/Sunset Roundabout	SHOPP	9,050	Fiscal Year Delivery	Medium	New	Overhead utility conflict requires utility relocation. Utility bankruptcy impacting relocation efforts.
HUM	299	HUM-299 Widen Shoulders	SHOPP	6,560	Fiscal Year Delivery	Medium	New	Geotechnical studies have been delayed due to a non-responsive property owner.
SBD	215	WASHINGTON BR. - SBD 215	SHOPP	34,368	Fiscal Year Delivery	Medium	New	Involves right of way acquisition of 9 parcels and one property owner is contesting an acquisition.
VEN	101	Ven 101 Padre Juan Rehab	SHOPP	52,250	Fiscal Year Delivery	Medium	Same as Previous	Stormwater Best Management Practices (BMPs) had to be added to the scope due to the new policies after PID was completed.
LA	071	RT-71 EXPY to FWY (Mission to RT-60)	STIP	175,519	Fiscal Year Delivery	Medium	Same as Previous	Involves right of way acquisition risks and coordination with the LA Metro.
SJ	088	Liberty Safety Improvement	SHOPP	5,500	Fiscal Year Delivery	Medium	New	Involves Conservation Bank easement; mitigation and bank credits need to be purchased.
HUM	200	200/299 SEPARATION	SHOPP	6,630	Fiscal Year Delivery	Medium	Same as Previous	Non-standard design features had to be added to the preferred alternative, which requires raising the overcrossing as oppose to lowering the road, which can potentially result in delivery delay.
RIV	095	RIV 095 CONSTRUCT CONCRETE/RSP & REPLACE CULVERTS	SHOPP	13,122	Fiscal Year Delivery	Medium	Same as Previous	Involves concurrence from Tribes and Bureau of Land Management, as well as environmental permits (401, 404, 1602).
SBD	062	SBD 062 CONSTRUCT CONCRETE/RSP APRONS	SHOPP	12,739	Fiscal Year Delivery	Medium	Same as Previous	The project requires easements from the Bureau of Land Management and challenging environmental permits (401, 404, 1602).

County	Route	Description	Program	Programmed Budget (\$1,000s)	Risk Component	Risk Level	Risk Trend	Risk Description
SBD	060	SBD 60 ADD WB AUX LANE, ADD EB DECELERATION LANE	SHOPP	35,662	Fiscal Year Delivery	Medium	New	Involves environmental permits (401, 404, 408, 1602).
SBD	038	Sbd 38 Replace Culverts	SHOPP	10,629	Fiscal Year Delivery	Medium	New	Incllement weather delayed topographic surveys and development of appraisal maps. The project requires right of way acquisition from various public agencies and private property owners. Each property has its own acquisition process and timeline, therefore right of way certification is at risk.
MEN	001	Mendocino Coast Two Bridges	SHOPP	2,825	Fiscal Year Delivery	Medium	Same as Previous	The risk is due to staff re-assignment to handle the immediate needs of emergency projects.
ALA	084	Install Outer Separation Barriers	SHOPP	26,080	Fiscal Year Delivery	Low	New	Utility maps have been delayed due to pending non-disclosure agreement with PG&E.
ALA	080	Ala 80 Median Barrier & Lighting	SHOPP	22,837	Fiscal Year Delivery	Low	New	Utility maps have been delayed due to pending non-disclosure agreement with PG&E.
LA	213	Storm Drain Repair	SHOPP	3,812	Fiscal Year Delivery	Low	Same as Previous	Involves temporary access challenges and coordination with the U.S. Navy.
LA	010	Install Type 60 Barrier & High Mast LED	SHOPP	32,678	Fiscal Year Delivery	Low	Same as Previous	The PAED phase took longer than expected due to challenges in defining the limits of the project scope. District plans to contract out some tasks to minimize the delay.
SLO	058	Trout Creek Bridge replacement	SHOPP	13,324	Fiscal Year Delivery	Low	Same as Previous	Additional utility conflicts have been identified.
MON	001	REPLACE CULVERT NEAR LIMEKILN CREEK	SHOPP	4,298	Fiscal Year Delivery	Low	New	Visual elements added to the project require re-evaluation of the environmental document.
SBD	040	SBD 40 NEEDLES REGRADE MEDIAN	SHOPP	36,854	Fiscal Year Delivery	Low	New	Involves environmental permits (401, 404, 1602).
SBD	040	SBD 40 RECONSTRUCT CHANNEL	SHOPP	9,126	Fiscal Year Delivery	Low	Same as Previous	Involves environmental permits (401, 404, 1602).
RIV	074	Riv 74 Widen Lanes, Add Shoulders & Rumble Strips	SHOPP	65,149	Fiscal Year Delivery	Low	Same as Previous	Involves environmental permits (401, 404, 1602).
RIV	010	Riv 10 Blythe Pavement Rehab: mainline, shoulders, ramps	SHOPP	339,373	Fiscal Year Delivery	Low	Same as Previous	Involves environmental permits (401, 1600, 2081).
SBD	018	SBD 18 CONSTRUCT RAISE MEDIAN IN VICTORVILLE	SHOPP	13,599	Fiscal Year Delivery	Low	New	The project has 20 parcels having high risk for right of way certification.
RIV	074	RIV 74/79/371 UPGRADE TO STANDARD BRIDGE RAIL	SHOPP	12,880	Fiscal Year Delivery	Low	New	Involves environmental permits (401, 404, 1602).

County	Route	Description	Program	Programmed Budget (\$1,000s)	Risk Component	Risk Level	Risk Trend	Risk Description
SBD	015	SBD 15 REPAIR FAILED AND ERODED EARTHEN DIKE	SHOPP	4,001	Fiscal Year Delivery	Low	Same as Previous	Involves environmental permits (401, 1600, 2081).
DN	101	CRESCENT CITY ADA SHOPP	SHOPP	8,617	Fiscal Year Delivery	Low	Decreased	Project requires obtaining 82 Temporary Construction Easements and 14 Permanent Easements. If easements cannot be obtained by RTL, the District may have to go to condemnation.
BUT	099	Cottonwood Creek Bridge Replacement	SHOPP	11,964	Fiscal Year Delivery	Low	New	Involves 408 permit from the Army Corp of Engineers.
Pre-Construction-COS Supplementals: 15 projects with a programmed budget of \$31M and risks between \$5M to \$30M								
SB	101	Refugio Bridge Replacement	SHOPP	2,200	PA&ED	Very High	New	Environmental impacts are greater than anticipated and requires a higher level environmental document. The higher level environmental document requires additional time for Notice of Preparation, coordination between State and Federal agencies, and public hearings. Fish passage was also added to the project due to SB 857. Risks were identified in risk register and have now been realized.
SAC	099	21st Ave UC	SHOPP	240	PS&E	Very High	New	Surveys revealed an unanticipated elevation difference which impacts the construction of the project. A solution has been determined, however, additional resources are needed to implement the unexpected change.
MON	156	Castroville Overhead	SHOPP	2,120	PS&E	Very High	New	Involves obtaining an encroachment permit from the Railroad.
SD	075	SR-75 CORONADO BRIDGE-PIERS	SHOPP	2,823	PA&ED	Very High	Increased	The project involves a seismic retrofit study that currently projects a \$2M increase in the total funding needs.
ALA	13	Upgrade curb ramps and sidewalk	SHOPP	0	Right of Way Support	High	New	Difficult utility relocation.
ALA	13	Upgrade curb ramps and sidewalk	SHOPP	0	PS&E	High	New	Difficult utility relocation.
SIS	VAR	Yreka Rehab	SHOPP	1,830	PA&ED	High	New	Changing to the CMGC project delivery process delayed the project and created cost increases in the form of fees and additional staff resources.
HUM	036	Carlotta Curve Improvement	SHOPP	910	PA&ED	High	Same as Previous	Due to several unanticipated changes during preliminary design including the addition of a retaining wall to the scope of work.
SJ	099	VICTOR ONRAMP	SHOPP	2,050	PS&E	High	New	Additional work was identified on Lockeford St and Victor Rd to adjust the grade of the streets which resulted in additional PS&E efforts to coordinate utility relocation of PG&E, AT&T and City of Lodi water lines.

County	Route	Description	Program	Programmed Budget (\$1,000s)	Risk Component	Risk Level	Risk Trend	Risk Description
STA	005	WESTLEY REST AREA REHAB	SHOPP	1,542	PS&E	High	Same as Previous	Design strategy change, the water/wastewater project is now a standalone project and requires a complete design of electrical and mechanical work.
DN	101	Dr. Fine Bridge Replacement	SHOPP	10,942	PA&ED	High	Same as Previous	Involves three challenging alternatives that are being evaluated regarding the Environmental Document.
SBD	215	WASHINGTON BR. - SBD 215	SHOPP	864	Right of Way Support	Medium	New	Involves right of way acquisition of 9 parcels and one property owner is contesting an acquisition.
SJ	005	STOCKTON CHANNEL VIADUCT BRIDGE REHAB	SHOPP	4,169	PA&ED	Medium	New	A geological investigation revealed the need for additional drilling and testing to ensure stability of the design. This work was not anticipated or budgeted when the project was programmed.
LA	091	Seismic Retrofit	SHOPP	150	Right of Way Support	Medium	Same as Previous	Involves access easement and needs Construction/Maintenance Agreement with railroad.
CAL	004	Bridge Replacement	SHOPP	866	PA&ED	Low	Same as Previous	Involves additional geotechnical drilling services and environmental studies to accommodate the design flow under the bridge.
Pre-Construction-Greater Than 120% Allocation: 11 projects with a programmed budget of \$172M and risks between \$32M to \$56M								
DN	101	Hunter/Panther Creek Bridge Replacement	SHOPP	33,373	Construction Capital	Very High	Same as Previous	Challenging site conditions and complex design.
LAS	036	Lower Fredonyer Paving & Shoulder Widening	SHOPP	25,825	Construction Capital	Very High	New	Bids are expected to be higher than anticipated due to current market prices for asphalt pavement and aggregate base.
SF	101	04-3E602_SF 001- LOMBARD CAPM	SHOPP	3,495	Construction Capital	Very High	New	The project was delivered in June 2018. Due to a scheduling conflict with a City of San Francisco project, the CTC approved a 20 month allocation time extension. Since that time, the engineer's estimate has increased to nearly \$5 Million due to escalation and increases in material costs.
SOL	080	Sol-80/29 Separation	SHOPP	12,300	Construction Capital	Very High	Same as Previous	This project, as part of the accelerated bridge program, was advanced from FY 19/20. Cost increased significantly due to constructability review and subsequent staging plan. Asphalt quantities, drainage, and traffic control were some of the major items of cost increase.
SOL	080	SR-12W EB to I-80 EB Connector Package 2A	STIP	50,300	Construction Capital	High	New	Multi-funded CMGC project with SB1 funds. Solano Transportation Agency (STA) expects a minimum \$5 million overrun. Additional funds will come from STA.

County	Route	Description	Program	Programmed Budget (\$1,000s)	Risk Component	Risk Level	Risk Trend	Risk Description
SJ	005	Install Midwest Guardrail System	SHOPP	1,138	Construction Capital	High	New	Construction capital costs increased due to recent bidding environment, additional construction safety requirements, and unanticipated reconstruction of eroded shoulders.
LA	014	Rte 14 Drainage Culvert	SHOPP	2,719	Construction Capital	High	Increased	Unanticipated site conditions require a change in the design strategy. Culverts will be changed from steel to reinforced concrete to avoid potential fire damage.
SB	101	North Santa Barbara County Roadside Safety	SHOPP	2,878	Construction Capital	Medium	New	Scope increase to address a safety concern for maintenance workers.
SJ	099	VICTOR ONRAMP	SHOPP	13,000	Construction Capital	Medium	New	Cost increases are due to increases in structures items, utility relocation, and added sign structure work.
RIV	074	RIV 74/79/371 UPGRADE TO STANDARD BRIDGE RAIL	SHOPP	6,245	Construction Capital	Low	Increased	Cost increase in construction capital is due to increase in structures estimate.
SBD	215	WASHINGTON BR. - SBD 215	SHOPP	20,606	Construction Capital	Low	New	Bids are expected to be higher than anticipated due to current market prices for structures items. Design changes have already been made to reduce construction costs.
Pre-Construction-Supplementals to Award: 2 projects with a programmed budget of \$12M and risks between \$0.1M to \$3M								
FRE	180	Kings Canyon Bridge Rail	SHOPP	3,153	Construction Capital	Very High	New	The lowest bidder was significantly over the engineer's estimate. Upgrades to the structures are critical; therefore, it is recommended to award the project.
MRN	101	Bridge replacement San Rafael harbor creek	SHOPP	8,546	Construction Capital	Low	Decreased	Supplemental fund request to re-advertise was approved in the June 2019 CTC meeting. Since there is no G-12 authority left for this contract, a second supplemental request may be needed if the low bid is higher than the revised engineer's estimate.
During Construction-COS Supplementals: 14 projects with a programmed budget of \$177M and risks between \$36M to \$43M								
MEN	101	Wetland/Riparian Mitigation, Monitoring and Endowment	STIP	22,278	Construction Support	Very high	New	Supplemental increase required for much higher than anticipated monitoring requirements
HUM	101	Upgrade 4 lane facility	STIP	4,052	Construction Support	Very high	New	Project Amendment being pursued due to extensive soil remediation requirements discovered during PS&E impacting Construction Support
SCL	9	Replace Bridge	SHOPP	1,526	Construction Support	Very High	New	Project Amendment being pursued to increase Construction Support due to design strategy revision resulting from PA&ED reviews

County	Route	Description	Program	Programmed Budget (\$1,000s)	Risk Component	Risk Level	Risk Trend	Risk Description
TRI	036	Ditch Gulch Curve Improvement	SHOPP	1,995	Construction Support	Very High	Increased	Unanticipated ground water was encountered during excavation for foundation work. As a result, additional excavation was needed to stabilize the work site. The excavation revealed that site conditions differ from geotechnical reports. The design needs to be modified to account for changes in site conditions.
SJ	099	SOUTH STOCKTON 6-LANE WIDENING	BOND	7,000	Right of Way Support	Very High	Same as Previous	Involves disposal of excess parcels.
SJ	099	SOUTH STOCKTON 6-LANE WIDENING	BOND	20,000	Construction Support	Very High	Same as Previous	Address claims for delays due to utility relocation and Railroad agreement.
SJ	004	SR 4 CROSSTOWN RAMP EXTENSION	Bond	12,200	Construction Support	Very High	Same as Previous	Construction claims.
PLU	070	Yellow Creek Bridge	SHOPP	2,398	Construction Support	Medium	New	Contractor has fallen behind schedule and needs additional time to finish construction; therefore, additional resources are needed. Trying to manage within G-12 authority.
LA	210	Pavement & Roadway Rehab	SHOPP	17,173	Construction Support	Medium	Same as Previous	Involves scope increase due to discovery of additional damaged slabs in lanes 1 and 2 of both directions of the 210 freeway within the project limit.
LA	405	5 its upgrade	SHOPP	1,476	Construction Support	Low	Same as Previous	During construction, the deterioration of the existing communication conduits was determined to be in severely worse condition than what was anticipated in design stage, which requires additional repair. Furthermore, there are also issues with compatibility between the present communication system and the new fiber optic cable communication system, which require immediate remedy. As a result, support cost has increased.
LA	001	1 amber alert	SHOPP	1,480	Construction Support	Low	Same as Previous	Involves additional unanticipated equipment testing.
ALP	VAR	ALP, CAL, AMA TREE PRUNING	SHOPP	2,800	Construction Support	Low	Decreased	Involves biological/cultural surveys and biological/cultural and Native American monitoring tasks.
KER	058	Gap Closure Rehab	SHOPP	4,055	Construction Support	Low	New	Due to multiple projects in the area, lane closures may have to be restricted resulting in potential increases to contract duration and support costs.
LA	047	Schuyler Heim Bridge Replacement	SHOPP MAJOR	79,000	Construction Support	Low	Same as Previous	The support cost estimate at completion is associated with the new revised capital cost estimate of \$428.4M with 80% confidence.

County	Route	Description	Program	Programmed Budget (\$1,000s)	Risk Component	Risk Level	Risk Trend	Risk Description
During Construction-Supplementals to Complete Construction: 20 projects with a programmed budget of \$788M and risks between \$27M to \$53M								
HUM	101	Upgrade 4 lane facility	STIP	34,114	Construction Capital	Very high	New	Project Amendment being pursued due to extensive soil remediation requirements discovered during PS&E impacting Construction Capital
SCL	9	Replace Bridge	SHOPP	11,668	Construction Capital	Very High	New	Project Amendment being pursued to increase Construction Capital due to design strategy revision resulting from PA&ED reviews
ALA	880	Ala 880 Roadway Rehabilitation	SHOPP	8,000	Construction Capital	High	New	Additional work due to unanticipated site conditions and modifications to stage construction.
TRI	036	Ditch Gulch Curve Improvement	SHOPP	9,958	Construction Capital	Very High	Increased	Unanticipated ground water was encountered during excavation for foundation work. As a result, additional excavation was needed to stabilize the work site. The excavation revealed that site conditions differ from geotechnical reports. The design needs to be modified to account for changes in site conditions.
SJ	099	RIPON BRIDGE REHAB	SHOPP	8,507	Construction Capital	High	New	Majority of the G-12 allocation was used to award the project which came in at 7.8% over the engineer's estimate. The project was delayed due to high water flows in Stanislaus River from a wet winter and getting railroad approval by the contractor. As a result of this delay, another construction season is needed.
HUM	101	Redcrest CAPM	SHOPP	14,515	Construction Capital	High	Same as Previous	There was only one bidder for this contract and the bid was 15.33% higher than the engineer's estimate. G-12 was utilized to award. Additional funds might be needed if there are unexpected overruns that exceed the contingency.
TUL	201	Bridge Widening	SHOPP	9,371	Construction Capital	High	New	All of the G-12 amount was used to award the project. There is a risk that an issue may arise in construction that could exhaust the contingency balance which would require a supplemental request. One potential issue involves work on the Friant Kern Canal. The work window has become very restrictive and has been pushed back one year.
SJ	004	SR 4 CROSSTOWN RAMP EXTENSION	Bond	67,084	Construction Capital	High	Decreased	Utility Relocation issues and claims and arbitration are expected.
SHA	005	Antlers Br Replacement	SHOPP	134,150	Construction Capital	High	Same as Previous	Construction claims.
TUL	099	TULARE WORKER SAFETY IMPROVEMENTS	SHOPP	2,700	Construction Capital	Medium	New	G-12 authority was used to award the project. If unexpected issues arise during construction there may be a need for supplemental funds.

County	Route	Description	Program	Programmed Budget (\$1,000s)	Risk Component	Risk Level	Risk Trend	Risk Description
MEN	101	Peregrine Slides	SHOPP	13,762	Construction Capital	Medium	Decreased	The wall at PM 5.30 could not be built in one season and had complicated geotechnical issues that delayed the project and required revisions. There is potential to exceed the G-12 capacity.
NEV	080	Nev 80, Near Truckee, Relocate Floriston Sand House	SHOPP	2,478	Construction Capital	Medium	New	Involves construction claim disputes regarding "Buy America" steel.
TUO	108	SR108 INTERSECTION IMPROVEMENT	SHOPP	3,270	Construction Capital	Medium	New	Contract was awarded with all G-12 funds and contingency is marginally thin. Any discovery of major unforeseen issues could require additional funds to complete the project.
LA	001	Malibu Roadway Stabilization	SHOPP	10,432	Construction Capital	Low	Decreased	Construction claims.
VAR	005	Coalinga SRRA Water & Wastewater Upgrade	SHOPP	4,350	Construction Capital	Low	New	G-12 authority was used to award the project. If unexpected issues arise during construction there may be a need for supplemental funds.
SJ	120	SR120 MVP & ROADSIDE PAVING	SHOPP	3,491	Construction Capital	Low	New	Contract will be awarded with partial G-12 funds. Additional funds might be needed if there are unexpected overruns that exceed the contingency.
SJ	205	SR205 MVP & ROADSIDE PAVING	SHOPP	4,003	Construction Capital	Low	New	Contract was awarded with all G-12 funds and reduced supplemental and state furnished items. Additional funds may be needed if there are unexpected overruns that exceed the contingency.
STA	099	SJ and STA Ramp Metering	SHOPP	13,504	Construction Capital	Low	Decreased	G-12 was used to award the project to the lowest bidder. Additional funds may be needed if there are unexpected overruns that exceed the contingency.
KER	119	119/43 Roundabout	SHOPP	4,200	Construction Capital	Low	New	Potential claims involve the possibility of the utility relocation conflicts and endangered species may be encountered during construction.
LA	047	Schuyler Heim Bridge Replacement	SHOPP	428,421	Construction Capital	Low	Same as Previous	Additional funds may be needed based on the latest Risk Management and Exposure (RME) Report.

County	Route	Description	Program	Programmed Budget (\$1,000s)	Risk Component	Risk Level	Risk Trend	Risk Description
During Construction-Partnership Projects-Local Agency Implementing Agency: 1 project component with a programmed budget of \$45M and risks between \$19M to \$25M								
LA	710	Gerald Desmond Bridge	SHOPP	45,000	Construction Support	Low	Same as Previous	Additional support needed for increased working days.
Post-Construction-Supplementals to Closeout: 8 projects with a programmed budget of \$181M and risks between \$0.5M to \$12M								
SAC	080	I-80 Across the Top	Bond	104,588	Construction Capital	High	New	Construction Claims.
LA	018	Replace bridge deck LA-18	SHOPP	2,557	Construction Capital	High	Same as Previous	Repairs to damaged local road caused by traffic detour.
SIS	005	Dunsmuir CVEF	SHOPP	4,700	Construction Capital	Medium	New	Construction claims.
AMA	088	SILVER LAKE CAMPGROUND CAPM	SHOPP	3,625	Construction Capital	Medium	Same as Previous	Construction claims.
AMA	088	AMADOR 88 CAPM	SHOPP	6,810	Construction Capital	Medium	Same as Previous	Construction Claims.
SJ	099	Installation of fiber optic cable in Arch Road	SHOPP	3,490	Construction Capital	Medium	New	Construction Claims.
MON	VAR	Roadside Safety improvements, MON	SHOPP	2,209	Construction Capital	Medium	Same as Previous	Construction Claims.
SJ	005	NORTH I-5 REHAB	SHOPP	53,056	Construction Capital	Medium	Same as Previous	Construction Claims.
Post Construction: Right of Way Adjustment: 1 project with programmed budget of \$5M and risks between \$0.1M to \$4M								
SB	101	Linden & Casitas Pass Interchanges	STIP	5,394	Right of Way Capital	High	Same as Previous	Involves utility companies and locals share adjustments.

Project Delivery Milestone Performance Report

Project Approval, Environmental Documents

Draft Environmental Documents Completed Summary

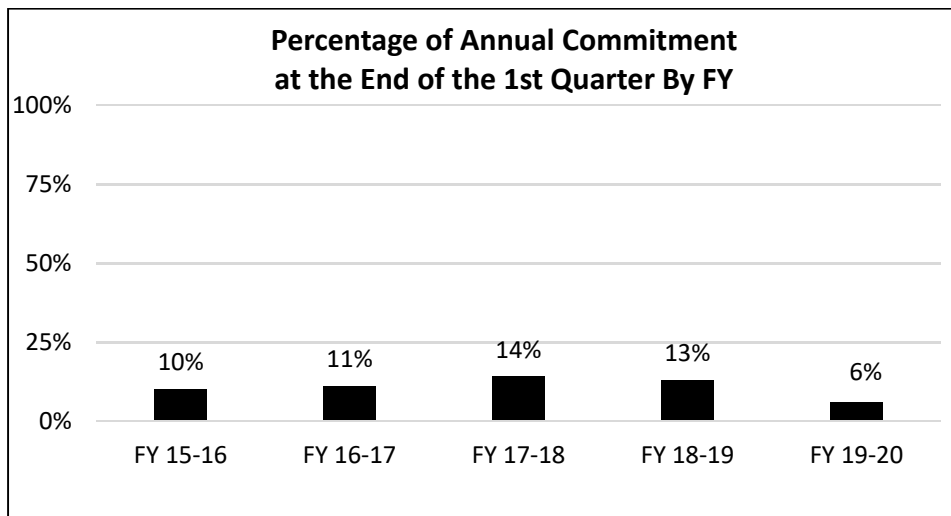
The project team conducts environmental studies to analyze the effect of various project alternatives. The result of the studies is an environmental document. The type of environmental document depends on the significance of the impacts.

In fiscal year 2019-20, Caltrans committed to deliver 109 draft environmental documents. Through the end of the first quarter, fiscal year 2019-20, Caltrans completed a total of 7, or 6 percent of the annual commitment.

Measure: Draft Environmental Documents Completed – 1st Quarter FY 2019-20

Fiscal Year 2019-20

Year-to-Date thru 1st Quarter			Annual Commitment		Year-End Projection		Last Five Fiscal Year Average
Completed	Plan	Percent	Plan	Percent	Forecast	Percent	Percent
7	11	64%	109	6%	97	89%	79%



Project Approval Summary

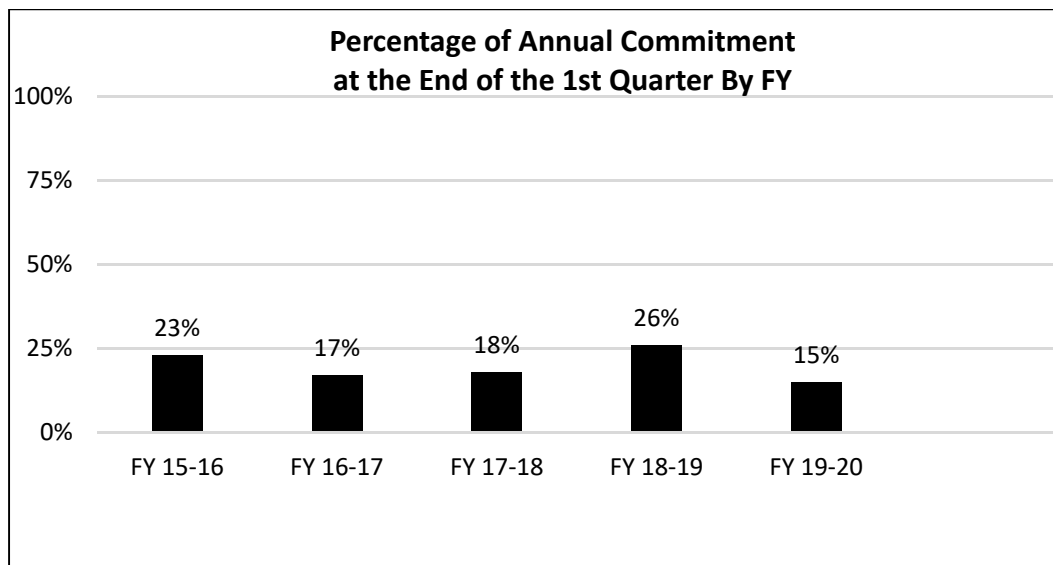
Project approval is also commonly referred to as "PA&ED," which is an abbreviation for the Project Approval and Environmental Document project milestone. Project approval is achieved when the Project Report has been signed. The Project Report includes the selection of the preferred project alternative and includes the project's environmental document.

In fiscal year 2019-20, Caltrans committed to deliver 268 project approvals and environmental documents. Through the end of the first quarter, fiscal year 2019-20, Caltrans approved a total of 41, or 15 percent of the annual commitment.

Measure: Projects Approved, Environmental Documents – 1st Quarter FY 2019-20

Fiscal Year 2019-20

Year-to-Date thru 2nd Quarter			Annual Commitment		Year-End Projection		Last Five Fiscal Year Average
Completed	Plan	Percent	Plan	Percent	Forecast	Percent	Percent
41	47	87%	268	15%	261	97%	90%



Right of Way: Projects Certified

Projects Certifications Summary

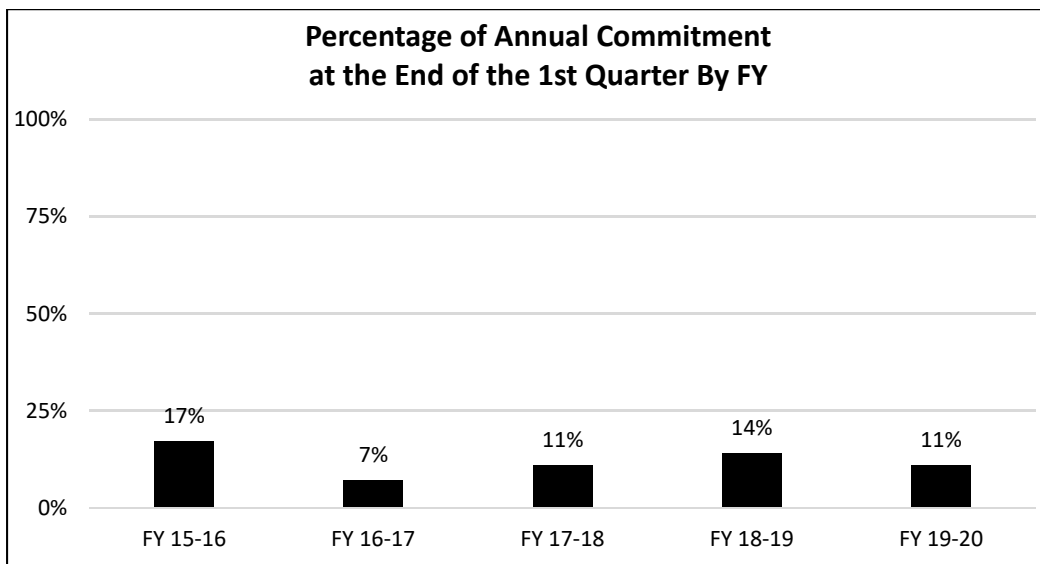
Right of way certification is achieved when all needed properties have been obtained, either by easement or acquisition, and all railroad and utility constraints are cleared.

In fiscal year 2019-20, Caltrans committed to certify right of way for 304 projects. Through the end of the first quarter, fiscal year 2019-20, Caltrans certified a total of 34 projects, or 11 percent of the annual commitment.

Measure: Projects Certified – 1st Quarter Fiscal Year 2019-20

Fiscal Year 2019-20

Year-to-Date thru 1st Quarter			Annual Commitment		Year-End Projection		Last Five Fiscal Year Average
Completed	Plan	Percent	Plan	Percent	Forecast	Percent	Percent
34	34	100%	304	11%	294	97%	97%



Delivery: Projects Designed and Ready for Construction

Contract for Delivery Summary

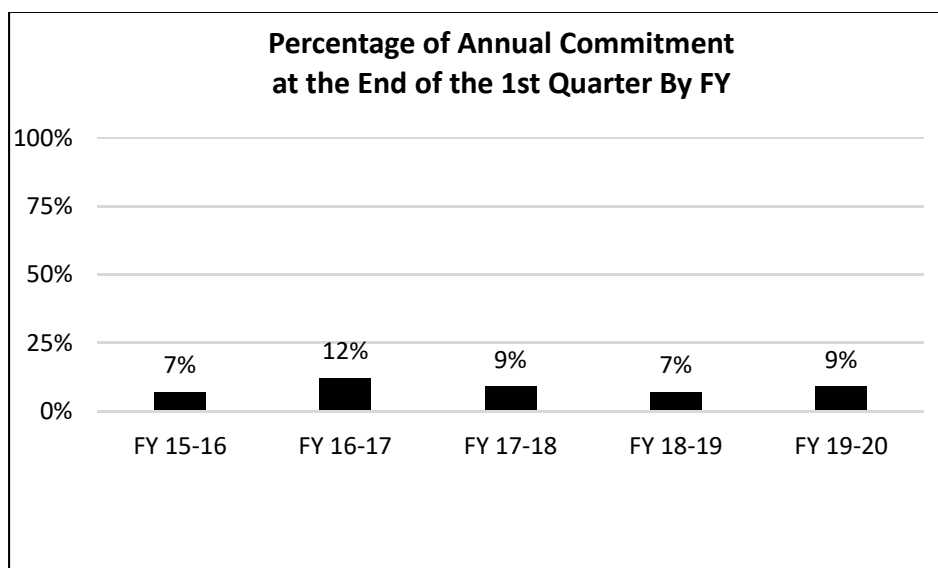
Each year, the Caltrans Director signs a Contract for Delivery with each of our 12 District Directors committing to deliver projects ready for construction. The Contract for Delivery includes a list of major state highway projects for which Caltrans will complete project plans, specifications and estimates and secure rights-of-way and permits in that fiscal year. This allows Caltrans to advertise and award construction contracts and begin construction.

In fiscal year 2019-20, Caltrans committed in the Contract for Delivery to deliver 313 projects ready for construction, valued at \$4.95 billion. Through the end of the first quarter, Caltrans delivered 27 projects, or 9 percent of the annual commitment, with an estimated value of \$216 million.

Measure: Projects Designed and Ready for Construction – 1st Quarter FY 2019-20

Fiscal Year 2019-20 Contract for Delivery

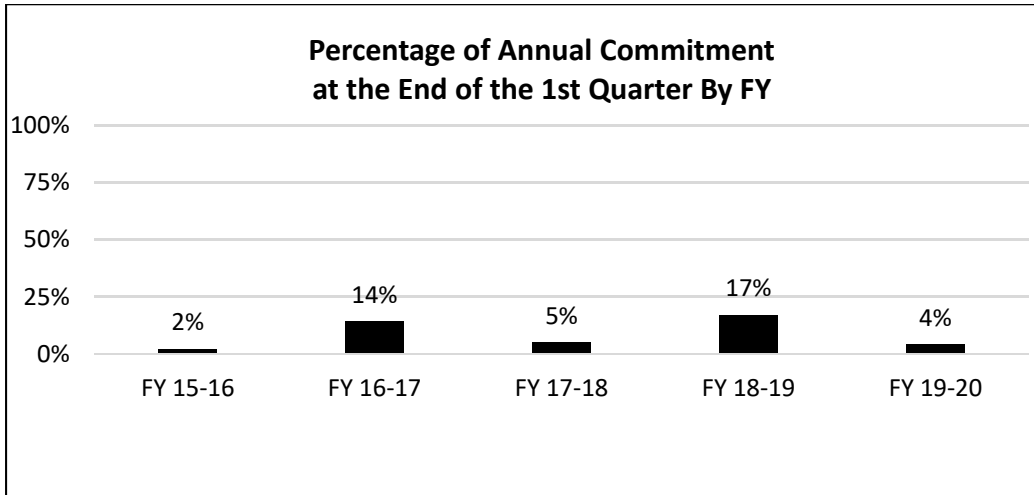
Year-to-Date thru 1st Quarter			Annual Commitment		Year-End Projection		Last Five Fiscal Year Average
Completed	Plan	Percent	Plan	Percent	Forecast	Percent	Percent
27	26	104%	313	9%	313	100%	98%



Measure: Contract Value Delivered – 1st Quarter Fiscal Year 2019-20

Fiscal Year 2019-20 Contract for Delivery (millions)

Year-to-Date thru 1st Quarter			Annual Commitment		Year-End Projection		Last Five Fiscal Year Average
Completed	Plan	Percent	Plan	Percent	Forecast	Percent	Percent
\$216	\$652	33%	\$4,947	4%	4,839	98%	90%



Construction: Projects Constructed

Projects Constructed Summary

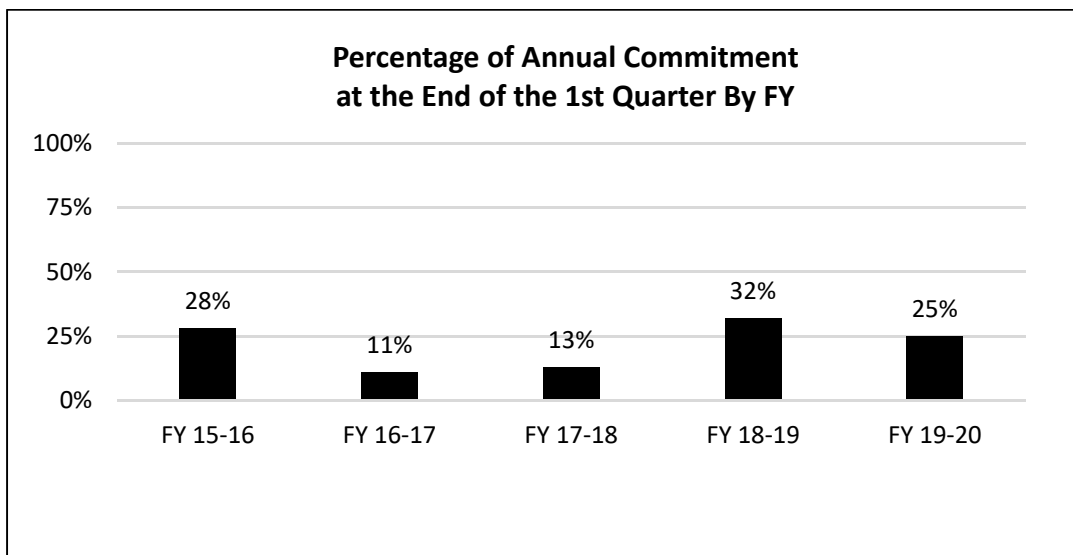
Construction entails building improvements as shown on the contract plans. Caltrans oversees the contractor’s work and administers the contract by authorizing payments to the contractor for completed work. The contract is complete when the contract has been accepted by the state resident engineer.

In fiscal year 2019-20, Caltrans committed to complete construction of 184 projects. Through the end of the first quarter, fiscal year 2019-20, Caltrans has completed 46, or 25 percent of the annual commitment.

Measure: Projects Constructed – 1st Quarter Fiscal Year 2019-20

Fiscal Year 2019-20

Year-to-Date thru 1st Quarter			Annual Commitment		Year-End Projection		Last Five Fiscal Year Average
Completed	Plan	Percent	Plan	Percent	Forecast	Percent	Percent
46	19	242%	184	25%	175	95%	88%



STIP and SHOPP Closeout Costs

Closeout Costs Summary

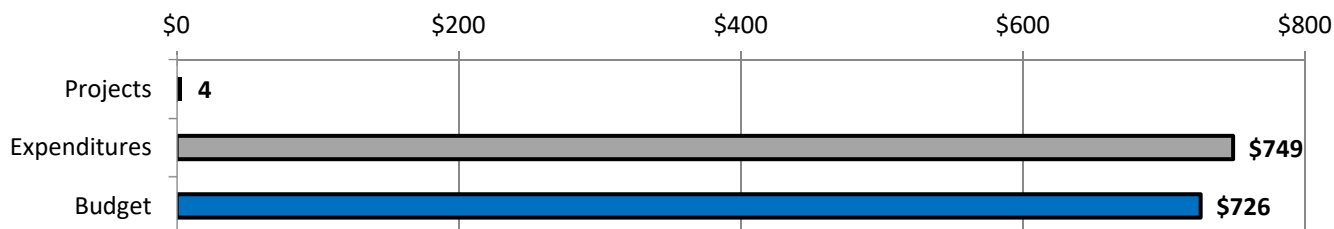
Pursuant to State Transportation Improvement Program guidelines and statutory requirements, Caltrans is reporting project closeout by comparing actual costs to final approved budgets. In consultation with Commission staff, project closeout reporting reflects projects where the construction contract was accepted (completed) two quarters ago.

- *Through the end of the first quarter, fiscal year 2019-20, Caltrans has closed out 4 State Transportation Improvement Program projects. The final approved budget for these projects was \$726 million. The actual cost to complete these projects was \$749 million, or 103 percent of the final approved budget.*
- *Through the end of the first quarter, fiscal year 2019-20, Caltrans has closed out 48 State Highway Operation and Protection Program projects. The final approved budget for these projects was \$451 million. The actual cost to complete these projects was \$413 million, or 92 percent of the final approved budget.*

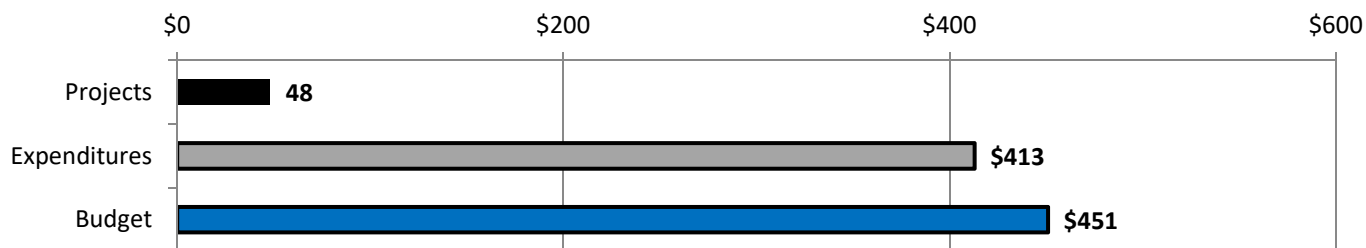
Measure: Program Costs – 1st Quarter Fiscal Year 2019-20

Program	Budget (millions)	Expended (millions)	Savings (millions)	Percent Expended	Last Five Fiscal Year Average
STIP	\$726	\$749	-\$23	103%	95%
SHOPP	\$451	\$413	\$38	92%	91%

State Transportation Improvement Program Closeout – Program Costs (millions)



State Highway Operation and Protection Program Closeout – Program Costs (millions)



Commission Initial Allocation, Final Approved Costs, and Expended Costs for Allocated Construction Components

SHOPP

Pursuant to Sections 57(b) through (d) of the adopted Interim SHOPP Guidelines and consistent with the California Transportation Commission’s action in October 2017 (Tab 80, Reference No. 4.7), Appendices B1, and B2 of this quarterly report provide the following:

- A summary by phase of SHOPP funds programmed, allocated, and expended at the time the construction contract was accepted for projects with a total cost of \$50 million or greater or a total SHOPP programmed amount (in right-of-way and/or construction) of \$15 million or greater.
- An aggregated summary by phase of SHOPP funds programmed, allocated, and expended at the time the construction contract was accepted for projects with a total cost of less than \$50 million and a total SHOPP programmed amount (in right-of-way and/or construction) of less than \$15 million.

STIP

As required by Government Code 14525.6, the table below provides a comparison between the Commission's initial allocation, final approved state only costs and expended costs for STIP projects that completed construction in the first quarter of Fiscal Year 2019-20. This provides an indication of how adjustments subsequently made after the initial vote (Commission approved supplemental funds or Caltrans delegated funding authority) compare to the initial allocated amounts for each program. The table below is generated from the projects listed in Appendix A of this report. Construction costs are calculated six months after the end of construction.

STIP Program Closeout – Construction Costs (\$1,000s)

Program	Construction Support ¹			Construction Capital		
	Initial Allocation	Final Approved Budget ²	Expended	Initial Allocation	Final Approved Budget ²	Expended
STIP	5,121	5,321	4,824	1,154	824	752
CMAQ	1,390	1,390	1,390	13,694	13,694	13,694
SHOPP³				6,000	6,000	6,000
OTHER⁴	40,392	43,793	43,785	481,250	601,789	586,171

¹ Construction Support totals reported: Government Code 14525.5 requires the Commission to allocate construction support for STIP funds and requires Caltrans to report on allocated construction components.

² Final Approved Budget, excluding right-of-way capital, is the sum of all approved Commission allocations plus delegated G-12 adjustments.

³ SHOPP funds on STIP and SHOPP projects combined contracts.

⁴ OTHER includes Locally Generated (i.e., measure funds...) and Federal Discretionary funds.

Appendix

- (A) Caltrans Fiscal Year 19-20 State Transportation Improvement Program Project Closeout. Construction costs are calculated six months after the end of construction.
- (B) Caltrans Fiscal Year 2019-20 State Highway Operation and Protection Program Project Closeout. Construction costs are calculated six months after the end of construction.
 - (B1) A summary by phase of SHOPP funds programmed, allocated, and expended at the time the construction contract was accepted for projects with a total cost of \$50 million or greater or a total SHOPP programmed amount (in right-of-way and/or construction) of \$15 million or greater.
 - (B2) An aggregated summary by phase of SHOPP funds programmed, allocated, and expended at the time the construction contract was accepted for projects with a total cost of less than \$50 million and a total SHOPP programmed amount (in right-of-way and/or construction) of less than \$15 million.
- (C) Caltrans Fiscal Year 2019-20 Capital Outlay Support G-12 Request Summary.
- (D) Caltrans First quarter, Fiscal Year 2019-20 Watch List: Retired Risks.
- (E) Construction Capital Closeout Summary.

**(A) Caltrans Fiscal Year 2019-20
State Transportation Improvement Program¹
Project Closeout**

Project Description			Support (\$1,000's)			Capital (\$1,000's)		Total (\$1,000's)		Delivery Year			
			Original Budget ³	Approved Budget ²	Actual Costs	Approved Budget ²	Actual Costs	Approved Budget ²	Actual Costs	Original	Actual	Years Early, Delayed, or On-time	
1st Quarter													
ORA	5	I-5 HOV Segment 3	\$ 13,663	\$ 13,663	\$ 12,510	\$ 50,228	\$ 47,432	\$ 63,891	\$ 59,942	12/13	12/13	0	On Time
KIN	198	19th Ave Landscape	\$ 102	\$ 121	\$ 268	\$ 824	\$ 752	\$ 945	\$ 1,021	13/14	13/14	0	On Time
TUL	99	Betty Drive Interchange	\$ 11,200	\$ 10,720	\$ 15,999	\$ 52,488	\$ 46,446	\$ 63,208	\$ 62,446	16/17	16/17	0	On Time
SF	101	Presidio Parkway P3	\$ 86,259	\$ 86,259	\$ 86,152	\$ 511,742	\$ 539,613	\$ 598,001	\$ 625,765	12/13	11/12	1	Early
Totals			\$ 111,224	\$ 110,763	\$ 114,929	\$ 615,282	\$ 634,243	\$ 726,045	\$ 749,173				

¹ State Transportation Improvement Program includes projects with one or more components funded from State Transportation Improvement Program funds. Includes all contributor funds on all project components.

² Budget information includes only budget information if expenditures are reflected in State data systems. Excludes local budgets for work implemented by local agencies. Capital Budget consists of Construction and Right of Way Capital.

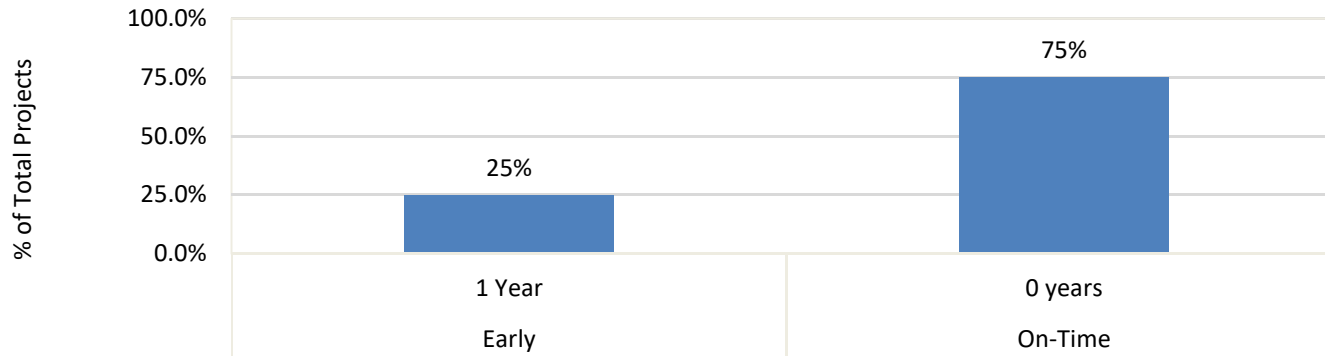
³ New project in 2014 Program Document or later.

**(A) Caltrans Fiscal Year 2019-20
State Transportation Improvement Program
Project Closeout**

FY 19-20 STIP Closeout Delivery Year Summary

	Early 1 Year	On-Time 0 years
Number of Projects	1	3
Approved Capital Budget (\$1,000's)	\$ 511,742	\$ 103,540

Distribution of FY 19-20 STIP Closeout Delivery Year Summary



(B) Caltrans Fiscal Year 2019-20
State Highway Operation and Protection
Program Project Closeout

Project Description	Support (\$1,000's)			Capital (\$1,000's)		Total (\$1,000's)		Completed Within Approved Budget?	Delivery Year			Construction Output ²			
	Original Budget ¹	Approved Budget	Actual Costs	Approved Budget	Actual Costs	Approved Budget	Actual Costs		Original	Actual	Years Early, Delayed, or On-time	Original	Actual ³	Primary Unit	
1st Quarter															
HUM 036 HUM-36 storm repairs	\$ 360	\$ 360	\$ 1,070	\$ 8,415	\$ 8,403	\$ 8,775	\$ 9,473	No	16/17	16/17	0	On Time	1	1	Location(s)
MEN 162 Middle Way Wall	\$ 1,680	\$ 3,610	\$ 4,108	\$ 5,324	\$ 4,722	\$ 8,934	\$ 8,830	Yes	13/14	16/17	(3)	Delayed	1	1	Location(s)
MEN 101 FIRES NEAR UKIAH & WILLITS	\$ 1,275	\$ 1,275	\$ 1,076	\$ 10,010	\$ 9,804	\$ 11,285	\$ 10,879	Yes	17/18	17/18	0	On Time	1	1	Location(s)
MEN 001 Remove Slide Debris, Stabilize Slope	\$ 530	\$ 530	\$ 884	\$ 9,050	\$ 8,825	\$ 9,580	\$ 9,709	No	16/17	16/17	0	On Time	1	1	Location(s)
SHA 005 Repair Failed Slope	\$ 1,500	\$ 1,500	\$ 336	\$ 5,100	\$ 3,197	\$ 6,600	\$ 3,534	Yes	17/18	17/18	0	On Time	1	1	Location(s)
BUT 191 Paradise - Road Realignment	\$ 4,846	\$ 5,950	\$ 4,280	\$ 24,162	\$ 17,987	\$ 30,112	\$ 22,267	Yes	15/16	16/17	(1)	Delayed	140	140	Collision(s) reduced
VAR VAR 49/174 Tree Removal	\$ 1,752	\$ 1,752	\$ 613	\$ 1,983	\$ 1,634	\$ 3,735	\$ 2,248	Yes	17/18	17/18	0	On Time	534	602	Location(s)
VAR VAR Enhance Crosswalk	\$ 1,070	\$ 1,070	\$ 1,767	\$ 1,728	\$ 1,141	\$ 2,798	\$ 2,907	No	16/17	16/17	0	On Time	244	134	Collision(s) reduced
MRN 001 Washout near Marin City	\$ 746	\$ 2,630	\$ 2,357	\$ 2,259	\$ 1,365	\$ 4,889	\$ 3,723	Yes	14/15	16/17	(2)	Delayed	1	1	Location(s)
SOL 780 Laurel Street OC	\$ 644	\$ 3,453	\$ 4,987	\$ 7,231	\$ 5,585	\$ 10,684	\$ 10,572	Yes	15/16	15/16	0	On Time	1	1	Bridge(s)
SCL 237 SCL 237, Concrete Barrier	\$ 995	\$ 995	\$ 1,106	\$ 1,601	\$ 1,353	\$ 2,596	\$ 2,459	Yes	16/17	16/17	0	On Time	2.3	2.3	Collision(s) reduced
SCL 152 Route 152-Shoulder widening	\$ 2,936	\$ 2,936	\$ 2,642	\$ 4,766	\$ 3,555	\$ 7,702	\$ 6,197	Yes	15/16	16/17	(1)	Delayed	62	62	Collision(s) reduced
SON 101 SON 101 Off-ramp Improvement	\$ 900	\$ 1,185	\$ 1,253	\$ 1,170	\$ 986	\$ 2,355	\$ 2,239	Yes	16/17	16/17	0	On Time	30		Collision(s) reduced
CC 004 Construct Maintenance Vehicle Pullouts	\$ 1,570	\$ 2,600	\$ 2,988	\$ 6,393	\$ 4,705	\$ 8,993	\$ 7,693	Yes	16/17	16/17	0	On Time	2	15	Location(s)
SM 001 PIGEON POINT ROAD	\$ 1,121	\$ 2,310	\$ 2,398	\$ 1,302	\$ 952	\$ 3,612	\$ 3,350	Yes	14/15	16/17	(2)	Delayed	1	2	Location(s)
CC 004 Place Rock Slope Protection	\$ 1,328	\$ 1,888	\$ 1,912	\$ 1,888	\$ 1,472	\$ 3,776	\$ 3,384	Yes	17/18	17/18	0	On Time	2	2	Location(s)
MON 001 Remove Slide Debris	\$ 1,560	\$ 1,560	\$ 794	\$ 5,550	\$ 5,454	\$ 7,110	\$ 6,248	Yes	16/17	16/17	0	On Time	8	8	Location(s)
MON 001 Remove Slide Debris, Recons Embankment	\$ 405	\$ 405	\$ 656	\$ 2,520	\$ 2,326	\$ 2,925	\$ 2,982	No	16/17	16/17	0	On Time	4	4	Location(s)
MON 001 Worker Safety Improvements, MON County	\$ 1,406	\$ 2,296	\$ 2,261	\$ 3,432	\$ 3,105	\$ 5,728	\$ 5,366	Yes	17/18	17/18	0	On Time	66	28	Location(s)
KIN 041 KANSAS Ave Intersection	\$ 1,980	\$ 1,980	\$ 1,448	\$ 1,814	\$ 1,451	\$ 3,794	\$ 2,899	Yes	17/18	17/18	0	On Time	20	11	Collision(s) reduced
KIN 041 Kings 41 Rumble Strips	\$ 1,260	\$ 1,260	\$ 814	\$ 3,154	\$ 2,791	\$ 4,414	\$ 3,605	Yes	17/18	17/18	0	On Time	62	62	Collision(s) reduced
KIN 005 Lost Hills Rubber Rehab	\$ 3,460	\$ 4,190	\$ 2,223	\$ 20,423	\$ 18,235	\$ 24,613	\$ 20,458	Yes	18/19	17/18	1	Early	36	36	Lane mile(s)
LA 101 CapM SF Valley	\$ 8,000	\$ 8,000	\$ 7,741	\$ 62,715	\$ 54,705	\$ 70,715	\$ 62,445	Yes	13/14	13/14	0	On Time	158	189	Lane mile(s)
LA 091 Roadway Rehabilitation	\$ 3,465	\$ 3,465	\$ 7,492	\$ 19,314	\$ 14,976	\$ 22,779	\$ 22,468	Yes	14/15	14/15	0	On Time	42	42	Lane mile(s)
LA 010 Upgrade RMS - 10	\$ 1,440	\$ 1,440	\$ 2,252	\$ 3,829	\$ 3,275	\$ 5,269	\$ 5,527	No	14/15	14/15	0	On Time	27	27	Field element(s)
LA 105 Light and Sign	\$ 2,000	\$ 2,000	\$ 1,754	\$ 3,674	\$ 3,422	\$ 5,674	\$ 5,176	Yes	14/15	14/15	0	On Time	177	177	Collision(s) reduced
LA 005 Safety Enhancement	\$ 1,850	\$ 1,850	\$ 2,337	\$ 5,536	\$ 4,733	\$ 7,386	\$ 7,071	Yes	15/16	15/16	0	On Time	5	4.5	Location(s)
LA 134 Stormwater Mitigation	\$ 875	\$ 875	\$ 856	\$ 1,137	\$ 986	\$ 2,012	\$ 1,842	Yes	14/15	13/14	1	Early	22	5.7	Acre(s) treated/pollutant
LA 110 Maintenance Vehicle Pullout, Access Gates	\$ 610	\$ 1,536	\$ 1,353	\$ 1,028	\$ 656	\$ 2,564	\$ 2,009	Yes	16/17	16/17	0	On Time	76	54	Location(s)
LA 101 Repair Ramps/ADA Ramps	\$ 783	\$ 1,685	\$ 1,015	\$ 364	\$ 267	\$ 2,049	\$ 1,282	Yes	16/17	16/17	0	On Time	0.5	0.52	Lane mile(s)
LA 101 Construct Roadside Paving, Access Gates	\$ 812	\$ 812	\$ 1,225	\$ 1,543	\$ 1,396	\$ 2,355	\$ 2,621	No	14/15	14/15	0	On Time	10	9	Location(s)
SBD 062 Sbd 62 Median Curb in Morongo Valley	\$ 2,620	\$ 2,620	\$ 3,037	\$ 3,696	\$ 3,627	\$ 6,316	\$ 6,663	No	15/16	15/16	0	On Time	56	56	Collision(s) reduced
SBD 071 SBD 71 SEDIMENT CONTROL IN CHINO	\$ 683	\$ 727	\$ 807	\$ 722	\$ 516	\$ 1,449	\$ 1,323	Yes	16/17	16/17	0	On Time	20	20	Acre(s) treated/pollutant
VAR VAR SBD/RIV VAR CO REPLACE ESU/HAR	\$ 727	\$ 1,496	\$ 1,278	\$ 2,686	\$ 2,602	\$ 4,182	\$ 3,880	Yes	15/16	15/16	0	On Time	24	24	Field element(s)
SBD 038 SBD 38 IMPLEMENT SEDIMENT CONTROLS	\$ 1,030	\$ 1,467	\$ 1,351	\$ 522	\$ 282	\$ 1,989	\$ 1,633	Yes	16/17	16/17	0	On Time	58	58	Acre(s) treated/pollutant
SBD 210 SBD 210 FOC PHASE 1	\$ 984	\$ 984	\$ 1,157	\$ 3,022	\$ 2,671	\$ 4,006	\$ 3,828	Yes	16/17	15/16	1	Early	7	7	Field element(s)
SJ 012 BOULDIN ISLAND REHAB	\$ 9,290	\$ 9,675	\$ 12,008	\$ 49,106	\$ 46,154	\$ 58,781	\$ 58,161	Yes	12/13	12/13	0	On Time			
SJ 099 Highway Planting Restoration	\$ 2,327	\$ 2,327	\$ 2,049	\$ 5,972	\$ 5,312	\$ 8,299	\$ 7,361	Yes	16/17	16/17	0	On Time	5165	4199	Location(s)
SD 005 I-5 RAMP METERS	\$ 3,623	\$ 3,921	\$ 4,895	\$ 10,410	\$ 8,993	\$ 14,331	\$ 13,888	Yes	16/17	15/16	1	Early	8	8	Field element(s)
SD 094 CURVE REALIGNMENT E OF CAMPO CR BR	\$ 2,979	\$ 3,570	\$ 3,740	\$ 5,420	\$ 4,551	\$ 8,990	\$ 8,291	Yes	15/16	15/16	0	On Time	20	28	Collision(s) reduced
SD 079 SR-79-PM:34.2/36.5 - BRIDGE RAILS UPGRADE	\$ 1,258	\$ 2,548	\$ 2,523	\$ 1,899	\$ 1,128	\$ 4,447	\$ 3,651	Yes	16/17	16/17	0	On Time	507	507	Linear Feet
SD 005 IRIS AVE/OTAY RIVER BR WORKER SFTY	\$ 2,108	\$ 2,548	\$ 2,916	\$ 2,999	\$ 2,569	\$ 5,547	\$ 5,485	Yes	16/17	16/17	0	On Time	41	41	Location(s)
SD 052 SD-52/805 MEDIAN EROSION REPAIR	\$ 1,509	\$ 1,769	\$ 1,504	\$ 1,291	\$ 1,119	\$ 3,060	\$ 2,624	Yes	17/18	17/18	0	On Time	3.6	3.6	Acre(s) treated/pollutant
ORA 001 12-0N910 PCH Repair Bike Path & Slope	\$ 1,000	\$ 1,675	\$ 2,093	\$ 928	\$ 766	\$ 2,603	\$ 2,859	No	16/17	16/17	0	On Time	1	1	Location(s)
ORA 039 12-0N710 Rte 39 modify signals, refresh stripings & pavement	\$ 1,040	\$ 1,218	\$ 1,111	\$ 345	\$ 254	\$ 1,563	\$ 1,366	Yes	16/17	16/17	0	On Time	93	23	Collision(s) reduced
ORA 005 12-0M490 Rte 5 El Camino-ENHANCE CURVE	\$ 3,715	\$ 5,956	\$ 6,610	\$ 12,167	\$ 11,709	\$ 18,123	\$ 18,319	No	15/16	15/16	0	On Time	70	70	Collision(s) reduced
ORA 055 12-0H20U RT 55/73 IC Landscape Rehab	\$ 928	\$ 928	\$ 1,121	\$ 3,834	\$ 3,812	\$ 4,762	\$ 4,933	No	13/14	13/14	0	On Time	250	250	Acres
ORA 005 12-0I61U Rt 405/5 IC Stormwater Source Control Project	\$ 1,778	\$ 1,778	\$ 1,820	\$ 5,511	\$ 5,092	\$ 7,289	\$ 6,912	Yes	13/14	13/14	0	On Time	64	64	Acre(s) treated/pollutant
Totals	\$ 90,758	\$ 112,605	\$ 118,018	\$ 338,940	\$ 294,623	\$ 451,545	\$ 412,641								

¹ New project in 2014 Program Document or later.

² New project in 2016 Program Document or later.

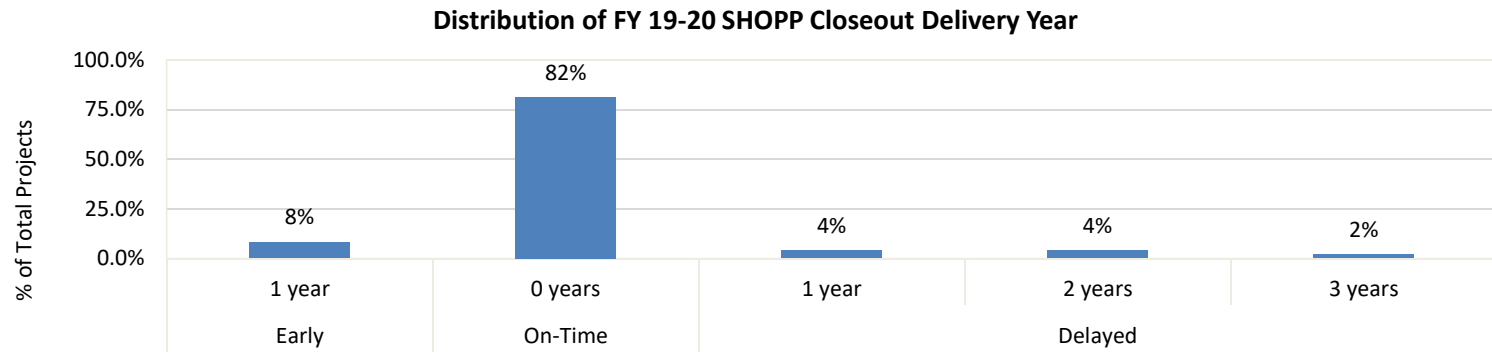
³ Blanks are the "Not Available" outputs at the time of this report.

(B) Caltrans Fiscal Year 2019-20 State Highway Operation and Protection Program Project Closeout																																																	
Project Description	Support (\$1,000's)			Capital (\$1,000's)		Total (\$1,000's)		Completed Within Approved Budget?	Delivery Year			Construction Output ²																																					
	Original Budget ¹	Approved Budget	Actual Costs	Approved Budget	Actual Costs	Approved Budget	Actual Costs		Original	Actual	Years Early, Delayed, or On-time	Original	Actual ³	Primary Unit																																			
<p>FY 2019-20 SHOPP Project Closeout Support Expenditure Analysis</p> <table border="1" style="margin: auto; border-collapse: collapse;"> <thead> <tr> <th>Percentage of Budget Expended</th> <th>Number of Projects</th> <th>Percentage of Projects</th> <th>Approved Support Budget (\$1,000's)</th> <th>Actual Support Cost (\$1,000's)</th> <th>Over (Under) Budget (\$1,000's)</th> <th>% Over (Under) Budget</th> </tr> </thead> <tbody> <tr> <td>< 80%</td> <td>8</td> <td>17%</td> <td>\$ 19,877</td> <td>\$ 11,524</td> <td>\$ (8,353)</td> <td>(42%)</td> </tr> <tr> <td>80% to 120%</td> <td>27</td> <td>56%</td> <td>\$ 63,144</td> <td>\$ 63,708</td> <td>\$ 564</td> <td>1%</td> </tr> <tr> <td>> 120%</td> <td>13</td> <td>27%</td> <td>\$ 29,584</td> <td>\$ 42,786</td> <td>\$ 13,202</td> <td>45%</td> </tr> <tr> <td>Total</td> <td>48</td> <td>100%</td> <td>\$ 112,605</td> <td>\$ 118,018</td> <td>\$ 5,413</td> <td>5%</td> </tr> </tbody> </table>															Percentage of Budget Expended	Number of Projects	Percentage of Projects	Approved Support Budget (\$1,000's)	Actual Support Cost (\$1,000's)	Over (Under) Budget (\$1,000's)	% Over (Under) Budget	< 80%	8	17%	\$ 19,877	\$ 11,524	\$ (8,353)	(42%)	80% to 120%	27	56%	\$ 63,144	\$ 63,708	\$ 564	1%	> 120%	13	27%	\$ 29,584	\$ 42,786	\$ 13,202	45%	Total	48	100%	\$ 112,605	\$ 118,018	\$ 5,413	5%
Percentage of Budget Expended	Number of Projects	Percentage of Projects	Approved Support Budget (\$1,000's)	Actual Support Cost (\$1,000's)	Over (Under) Budget (\$1,000's)	% Over (Under) Budget																																											
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Total	48	100%	\$ 112,605	\$ 118,018	\$ 5,413	5%																																											

**(B) Caltrans Fiscal Year 2019-20
State Highway Operation and Protection
Program Project Closeout**

FY 19-20 SHOPP Closeout Delivery Year Summary

	Early	On-Time	Delayed		
	1 year	0 years	1 year	2 years	3 years
Number of Projects	4	39	2	2	1
Approved Capital Budget (\$1,000's)	\$ 34,992	\$ 266,134	\$ 28,928	\$ 3,561	\$ 5,324



(B1) Caltrans Fiscal Year 2019-20 State Highway Operation and Protection Program Project Closeout - Large Projects (Total Project Cost Greater than \$50 million or Total SHOPP programmed amount (R/W and/or Construction) of \$15 Million or greater)													
Project Description	Phase	Initial Programmed Amount	Initial Allocated Amount	Supplemental Allocated Amount	Initial Programmed vs Allocated Variance Amount	Expended Amount	Total Allocated vs Expended Variance Amount	Delivery Year			Construction Output		
		(\$1000)	(\$1000)	(\$1000)	(\$1000)	(\$1000)	(\$1000)	Original	Actual	Years Early, Delayed, or On-time	Original	Actual	Primary Unit
1st Quarter													
SJ 012 BOULDIN ISLAND REHAB	PA&ED	\$ 1,391	\$0		\$1,391	\$1,213	-\$1,213						
	PS&E	\$ 2,310	\$0		\$2,310	\$2,349	-\$2,349						
	R/W Sup	\$ 282	\$0		\$282	\$250	-\$250						
	Con Sup	\$ 5,692	\$0		\$5,692	\$8,196	-\$8,196	12/13	12/13	0	On Time	0	0
	R/W Cap	\$ 4,458	\$0		\$4,458	\$3,135	-\$3,135						
	Con Cap	\$ 44,648	\$32,589	\$12,200	-\$141	\$43,018	\$1,771						
Total	\$ 58,781	\$32,589	\$12,200	\$13,992	\$58,161	-\$13,372							
ORA 005 12-OM490 Rte 5 El Camino- ENHANCE CURVE	PA&ED	\$ -	\$0		\$0	\$0	\$0						
	PS&E	\$ 2,500	\$0		\$2,500	\$3,050	-\$3,050						
	R/W Sup	\$ 156	\$0		\$156	\$45	-\$45						
	Con Sup	\$ 3,300	\$0		\$3,300	\$3,515	-\$3,515	15/16	15/16	0	On Time	70	70
	R/W Cap	\$ 14	\$0		\$14	\$4	-\$4						
	Con Cap	\$ 12,153	\$11,078		\$1,075	\$11,706	-\$628						
Total	\$ 18,123	\$11,078		\$7,045	\$18,319	-\$7,241							Collision(s) reduced
LA 101 CapM SF Valley	PA&ED	\$ 550	\$0		\$550	\$354	-\$354						
	PS&E	\$ 1,320	\$0		\$1,320	\$665	-\$665						
	R/W Sup	\$ 30	\$0		\$30	\$0	\$0						
	Con Sup	\$ 6,100	\$0		\$6,100	\$6,722	-\$6,722	13/14	13/14	0	On Time	158	189
	R/W Cap	\$ 100	\$0		\$100	\$0	\$0						
	Con Cap	\$ 62,615	\$56,768		\$5,847	\$54,705	\$2,063						
Total	\$ 70,715	\$56,768		\$13,947	\$62,445	-\$5,677							Lane mile(s)
LA 091 Roadway Rehabilitation	PA&ED	\$ -	\$0		\$0	\$0	\$0						
	PS&E	\$ 1,117	\$0		\$1,117	\$2,044	-\$2,044						
	R/W Sup	\$ 300	\$0		\$300	\$0	\$0						
	Con Sup	\$ 2,048	\$0		\$2,048	\$5,448	-\$5,448	14/15	14/15	0	On Time	42	42
	R/W Cap	\$ 1,909	\$0		\$1,909	\$0	\$0						
	Con Cap	\$ 17,405	\$17,931		-\$526	\$14,976	\$2,955						
Total	\$ 22,779	\$17,931		\$4,848	\$22,468	-\$4,537							Lane mile(s)
BUT 191 Paradise - Road Realignment	PA&ED	\$ 1,150	\$0		\$1,150	\$1,109	-\$1,109						
	PS&E	\$ 1,350	\$0		\$1,350	\$1,214	-\$1,214						
	R/W Sup	\$ 750	\$750		\$0	\$291	\$459						
	Con Sup	\$ 2,700	\$0		\$2,700	\$1,666	-\$1,666	15/16	16/17	-1	Delayed	140	140
	R/W Cap	\$ 5,300	\$0		\$5,300	\$451	-\$451						
	Con Cap	\$ 18,862	\$20,468		-\$1,606	\$17,535	\$2,933						
Total	\$ 30,112	\$21,218		\$8,894	\$22,267	-\$1,049							Collision(s) reduced
KIN 005 Lost Hills Rubber Rehab	PA&ED	\$ 650	\$650		\$0	\$452	\$198						
	PS&E	\$ 1,300	\$1,300		\$0	\$451	\$849						
	R/W Sup	\$ 140	\$140		\$0	\$29	\$111						
	Con Sup	\$ 2,100	\$2,300		-\$200	\$1,291	\$1,009	18/19	17/18	1	Early	36	36
	R/W Cap	\$ 35	\$0		\$35	\$3	-\$3						
	Con Cap	\$ 20,388	\$23,357		-\$2,970	\$18,233	\$5,124						
Total	\$ 24,613	\$27,747		-\$3,135	\$20,458	\$7,289							Lane mile(s)

* Note: SHOPP PAED and PS&E support as well as construction support were not allocated by the Commission until June 2017 and July 2016, respectively. Since there were no allocations prior to these dates, the initial allocated amount is shown as "0" resulting in a negative number in the total Allocated vs Expended Variance.

(B2) Caltrans Fiscal Year 2019-20
State Highway Operation and Protection
Program Project Closeout - Small Projects (Total Project Cost Less than \$50 million and Total SHOPP programmed amount (R/W and/or Construction) of \$15 Million or less)

Quarterly Aggregated Report for Projects that Complete Construction:
 Number of Projects in this Aggregated Summary:

FY 19/20 - Q1
 42

Phase	Fund-Type	Initial Programmed Amount (a) (\$1000)	Initial Allocated Amount (b) (\$1000)	Supplemental Allocated Amount (c) (\$1000)	Initial Programmed vs Allocated Variance Amount (a)-(b+c) (\$1000)	Expended Amount (d) (\$1000)	Total Allocated vs Expended Variance Amount * (b+c)-(d) (\$1000)
PA&ED Support	SHOPP	\$13,469	\$750	\$0	\$12,719	\$13,163	-\$12,413
	Other				\$0		\$0
	Total	\$13,469	\$750	\$0	\$12,719	\$13,163	-\$12,413
PS&E Support	SHOPP	\$26,713	\$19,386	\$0	\$7,327	\$31,516	-\$12,130
	Other				\$0		\$0
	Total	\$26,713	\$19,386	\$0	\$7,327	\$31,516	-\$12,130
Right of Way Support	SHOPP	\$2,917	\$2,679	\$0	\$238	\$1,750	\$929
	Other				\$0		\$0
	Total	\$2,917	\$2,679	\$0	\$238	\$1,750	\$929
Construction Support	SHOPP	\$32,270	\$11,957	\$0	\$20,313	\$31,234	-\$19,277
	Other				\$0		\$0
	Total	\$32,270	\$11,957	\$0	\$20,313	\$31,234	-\$19,277
Right of Way Capital	SHOPP	\$2,866	\$0	\$0	\$2,866	\$512	-\$512
	Other				\$0		\$0
	Total	\$2,866	\$0	\$0	\$2,866	\$512	-\$512
Construction Capital	SHOPP	\$148,187	\$123,181	\$0	\$25,006	\$130,345	-\$7,164
	Other				\$0		\$0
	Total	\$148,187	\$123,181	\$0	\$25,006	\$130,345	-\$7,164
Total	SHOPP	\$226,422	\$157,953	\$0	\$68,469	\$208,521	-\$50,568
	Other				\$0		\$0
	Total	\$226,422	\$157,953	\$0	\$68,469	\$208,521	-\$50,568

* **Note:** SHOPP PAED and PS&E support as well as construction support were not allocated by the Commission until June 2017 and July 2016, respectively. Since there were no allocations prior to these dates, the initial allocated amount is shown as "0" resulting in a negative number in the total Allocated vs Expended Variance.

**(C) Caltrans Fiscal Year 2019-20, First Quarter
Capital Outlay Support G-12 Request Summary**

The table below summarizes G-12 funding requests for the Capital Outlay Support program through the end of Q1, FY 2019-20.

Month	SHOPP		STIP		Total	
	No. of Projects	G-12 Amount	No. of Projects	Increase	No. of Projects	G-12 Amount
July	30	\$ (31,736,600)	1	\$ 1,100,000	31	\$ (30,636,600)
August	34	\$ (18,357,490)	0	\$ -	34	\$ (18,357,490)
September	42	\$ (14,145,800)	1	\$ 277,900	43	\$ (13,867,900)
G-12 Year-to-Date	106	\$ (64,239,890)	2	\$ 1,377,900	108	\$ (62,861,990)

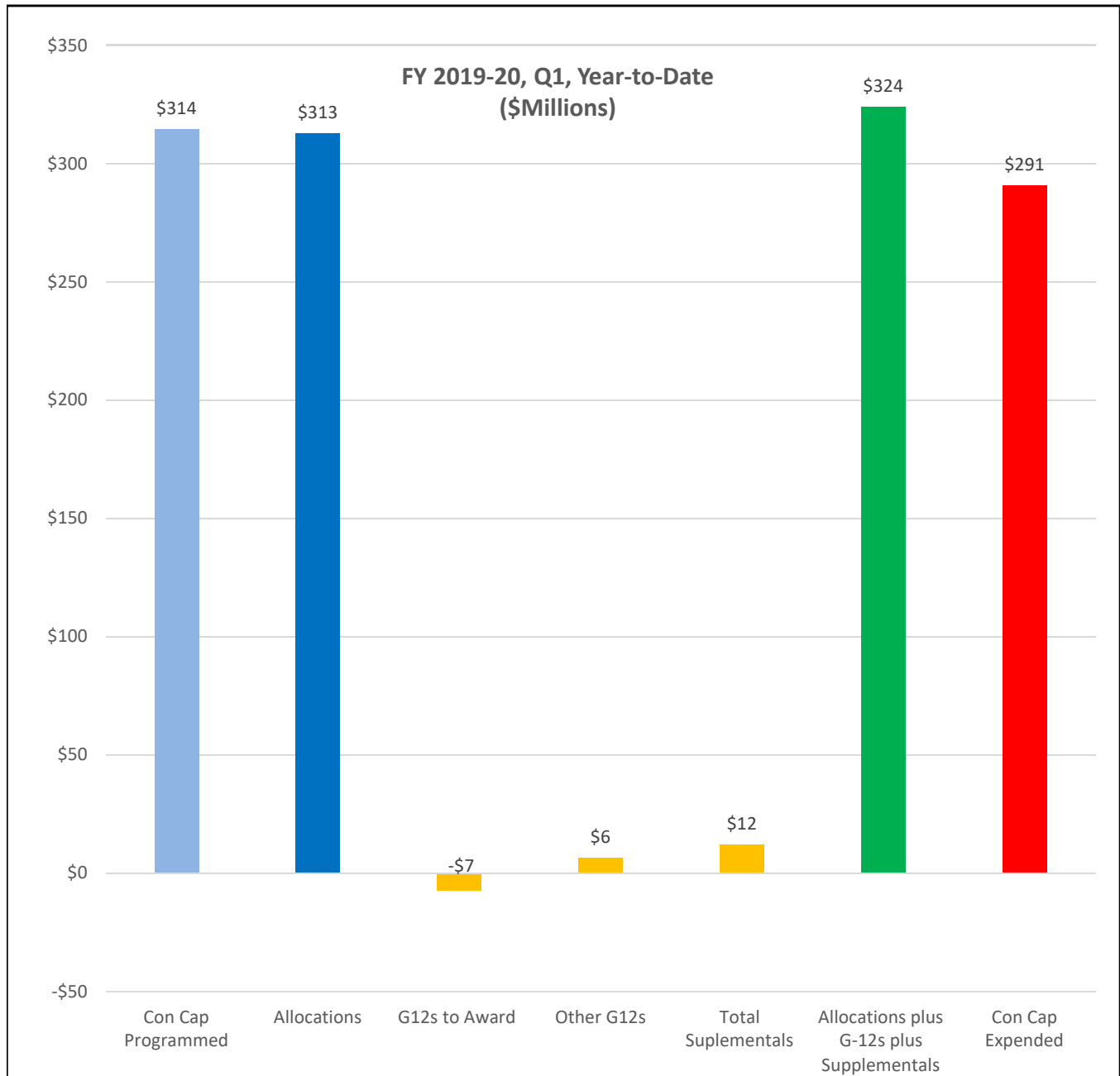
(D) Watch List: Fourth Quarter Retired Risks

County	Route	Description	Program	Programed Budget (\$1,000s)	Risk Component	Risk Trend	Risk Description
Pre-Construction - Delivery Year Delays Risks							
MEN	001	Pudding Creek CAPM	SHOPP	15,113	Fiscal Year Delivery	Retired	Project delivered and ready for construction.
MEN	001	Pudding Creek CAPM	SHOPP	15,113	Fiscal Year Delivery	Retired	Project delivered and ready for construction.
HUM	101	Construct New Materials Lab	SHOPP	567	Fiscal Year Delivery	Retired	Environmental document was approved.
HUM	101	Construct New Materials Lab	SHOPP	1,380	Fiscal Year Delivery	Retired	Environmental document was approved.
DN	101	Hunter/Panther Creek Bridge Replacement	SHOPP	33,373	Fiscal Year Delivery	Retired	Project delivered and ready for construction.
LAK	029	Lake 29 Expressway - Safety	SHOPP	66,050	Fiscal Year Delivery	Retired	Project delivered and ready for construction.
BUT	099	But 99 Bridge Rail Replacement	SHOPP	9,023	Fiscal Year Delivery	Retired	Project delivered and ready for construction.
NEV	174	SR-174 Safety Improvement Project	SHOPP	28,803	Fiscal Year Delivery	Retired	Project delivered and ready for construction.
ED	050	Camino Safety Project	SHOPP	48,000	Fiscal Year Delivery	Retired	Project delivered and ready for construction.
PLA	080	Colfax Culvert Rehabilitation	SHOPP	4,730	Fiscal Year Delivery	Retired	Permit issues resolved.
ALA	880	Lake Merritt Railroad Bridge Replacement	SHOPP	3,000	Fiscal Year Delivery	Retired	Time extension approved at the June 2019 CTC meeting.
ALA	084	NILES CANYON SAFETY PROJECT (MEDIUM TERM IMPROVEMENTS)	SHOPP	39,800	Fiscal Year Delivery	Retired	Time extension approved at the June 2019 CTC meeting.
ALA	084	NILES CANYON ALAMEDA CREEK BRIDGE REPLACEMENT PROJECT	SHOPP	42,074	Fiscal Year Delivery	Retired	Time extension approved at the June 2019 CTC meeting.
SLO	001	Piedras Blancas Offsite Mitigation Project	SHOPP	11,012	Fiscal Year Delivery	Retired	Amendment approved at the June 2019 CTC meeting.
SBT	025	Rte 25 Curve Alignment Restoration	SHOPP	9,416	Fiscal Year Delivery	Retired	Amendment approved at the May 2019 CTC meeting.
VEN	023	VEN-23 REHAB	SHOPP	118,794	Fiscal Year Delivery	Retired	Project delivered and ready for construction.
VEN	033	Scour Mitigation & Rail Upgrade	SHOPP	10,022	Fiscal Year Delivery	Retired	Project delivered and ready for construction.
SBD	040	SBD 40 REGRADE MEDIAN CROSS SLOPE (NEAR ARIZONA)	SHOPP	35,088	Fiscal Year Delivery	Retired	Project delivered and ready for construction.
SBD	062	SBD 62 Roadway Rehabilitation	SHOPP	15,611	Fiscal Year Delivery	Retired	Streambed alteration permit received.
SBD	095	SBD 95 Roadway Rehabilitation	SHOPP	12,342	Fiscal Year Delivery	Retired	Streambed alteration permit received.
STA	005	WESTLEY REST AREA REHAB	SHOPP	904	Fiscal Year Delivery	Retired	State Fire Marshall approval received in July 2019.
SJ	099	VICTOR ONRAMP	SHOPP	13,000	Fiscal Year Delivery	Retired	Time extension approved at the June 2019 CTC meeting.
SD	008	BUCKMAN SPRINGS SRRA WATER SYSTEMS	SHOPP	6,278	Fiscal Year Delivery	Retired	Project delivered and ready for construction.

County	Route	Description	Program	Programed Budget (\$1,000s)	Risk Component	Risk Trend	Risk Description
SD	008	I-8 CULVERT REPLACEMENT	SHOPP	10,901	Fiscal Year Delivery	Retired	Environmental document was approved.
SD	VAR	UPGRADE/INSTALL CURVE WARNING SIGNS AT HORIZONTAL CURVES	SHOPP	3,906	Fiscal Year Delivery	Retired	Project delivered and ready for construction.
IMP	008	I-8 IMPERIAL AVENUE INTERCHANGE	STIP-RIP	39,098	Fiscal Year Delivery	Retired	Project delivered and ready for construction.
ORA	133	12-0N870 Extend NB merge lane at Canyon Acres.	SHOPP	3,764	Fiscal Year Delivery	Retired	Project delivered and ready for construction.
Pre-Construction - COS Supplementals							
LAK	VAR	Morrison, Robinson & Kelsey Creek	SHOPP	200	PA&ED	Retired	Supplemental funds approved at the June 2019 CTC meeting.
NAP	121	HIUCHICA CREEK-REMOVE CULVERTS AND WIDEN ROADWAY	SHOPP	1,530	PS&E	Retired	Supplemental funds approved at the October 2019 CTC meeting.
LA	066	Upgrade Americans with Disabilities Act Ramps & Accessibility	SHOPP	50	Right of Way Support	Retired	Within G-12 authority.
VEN	033	Scour Mitigation & Rail Upgrade	SHOPP	1,112	PS&E	Retired	Greater Than 120% request approved at the October 2019 CTC meeting.
SBD	015	SBD 15 CONSTRUCT NEW SB WEIGH STA & TRUCK INSP FAC	SHOPP	286	PA&ED	Retired	Within G-12 authority.
Pre-Construction - Greater Than 120% Allocations							
LAK	VAR	Morrison, Robinson & Kelsey Creek	SHOPP	63	Construction Capital	Retired	Amendment approved at the June 2019 CTC meeting.
LAK	VAR	Morrison, Robinson & Kelsey Creek	SHOPP	129	Right of Way Support	Retired	Amendment approved at the June 2019 CTC meeting.
MEN	020	Calpella 2 Bridge Replacements	SHOPP	25,832	Construction Capital	Retired	Amendment approved at the June 2019 CTC meeting.
ED	050	Sawmill UC	SHOPP	8,850	Construction Capital	Retired	Allocation less than 120% of programmed amount.
YUB	020	Browns Valley Rehab	SHOPP	38,100	Construction Capital	Retired	Greater Than 120% request was approved at the August 2019 CTC meeting.
SOL	080	sol 80 raise oc	SHOPP	15,951	Construction Capital	Retired	Greater Than 120% request was approved at the October 2019 CTC meeting.
SLO	041	Route 41 Atascadero ADA Project	SHOPP	3,550	Construction Capital	Retired	Greater Than 120% request approved at the August 2019 CTC meeting.
LA	005	Upgrade Pumps	SHOPP	4,803	Construction Capital	Retired	Greater Than 120% was approved at the June 2019 CTC meeting.
LA	005	Upgrade Pumps	SHOPP	1,500	Construction Support	Retired	Greater Than 120% was approved at the June 2019 CTC meeting.
LA	001	Tunnel Lighting	SHOPP	5,180	Construction Capital	Retired	Greater Than 120% was approved at the June 2019 CTC meeting.
LA	001	Tunnel Lighting	SHOPP	1,903	Construction Support	Retired	Greater Than 120% was approved at the June 2019 CTC meeting.
LA	210	Pavement Preservation	SHOPP	120,000	Construction Capital	Retired	Final estimate less than 120% of programmed amount.
LA	710	Drainage Improvement, Culvert Repair, Replacement	SHOPP	205	Construction Support	Retired	Greater Than 120% request was approved at the August 2019 CTC meeting.

County	Route	Description	Program	Programed Budget (\$1,000s)	Risk Component	Risk Trend	Risk Description
VEN	033	Scour Mitigation & Rail Upgrade	SHOPP	2,460	Construction Capital	Retired	Greater Than 120% request approved at the October 2019 CTC meeting.
SJ	004	SJ SR 4 Reconstruction of Hinge 32	SHOPP	631	Construction Support	Retired	Requested less than 120% of programmed amount.
MER	152	MERCED SEISMIC RESTORATION	SHOPP	6,530	Construction Capital	Retired	Requested less than 120% of programmed amount.
Pre-Construction - Supplementals to Award							
SIS	096	96 Culverts	SHOPP	3,271	Construction Capital	Retired	Bids within approved supplemental allocation. Project was awarded.
VAR	VAR	North Canyon TMS Improvement	SHOPP	3,600	Construction Capital	Retired	Supplemental funds approved at the October 2019 CTC meeting.
SF	101	Aleman Circle UC Deck Replacement	SHOPP	32,400	Construction Capital	Retired	Greater Than 120% request approved at the August 2019 CTC meeting.
SCL	280	SCL-SM-280 CAPM	SHOPP	47,574	Construction Capital	Retired	Engineer's estimate within 120% of programmed amount.
TUO	120	TUO, STA, MPA Rumble Strips	SHOPP	1,579	Construction Capital	Retired	Supplemental funds approved at the June 2019 CTC meeting.
TUO	108	SR108 TUOLUMNE WIRE MESH	SHOPP	2,771	Construction Capital	Retired	Supplemental funds approved at the August 2019 CTC meeting.
SJ	004	SR4 MVP & ROADSIDE PAVING	SHOPP	3,520	Construction Capital	Retired	Supplemental funds approved at the August 2019 CTC meeting.
During Construction - COS Supplementals							
MAD	099	Chowchilla 2R Rehab	SHOPP	15,580	Construction Capital	Retired	Final expenditures expected to be within the current budget.
SBD	015	Sbd 15 phase 2 & Enhancements (Const Only)	STIP	10,300	Construction Support	Retired	Final expenditures expected to be within the current budget.
ALP	VAR	Tree Pruning and Removal	SHOPP	1,260	Construction Support	Retired	Within G-12 authority.
During Construction - Supplementals to Complete Construction							
TEH	036	Lassen Lodge Combined	SHOPP	10,528	Construction Capital	Retired	Supplemental funds approved at the August 2019 CTC meeting.
TUL	190	State Route 190 Lairds Rehab	SHOPP	17,000	Construction Capital	Retired	Gas line no longer in conflict.
LA	001	Malibu Roadway Stabilization	SHOPP	10,432	Construction Capital	Retired	Supplemental funds approved at the August 2019 CTC meeting.
SJ	088	CLEMENTS CAPM	SHOPP	6,343	Construction Capital	Retired	Construction was completed in June 2019.
CAL	004	BIG TREES STATE PARK WALL	SHOPP	7,167	Construction Capital	Retired	Supplemental funds approved at the March 2019 CTC meeting.
Post-Construction - Supplementals to Closeout							
TUL	099	Goshen to Kingsburg 6-lane	Bond	75,863	Construction Capital	Retired	Amendment approved at the June 2019 CTC meeting.
LA	710	LA-710 Rehab Phase 1	SHOPP	217,000	Construction Capital	Retired	Supplemental funds approved at the August 2019 CTC meeting.

**(E) Construction Capital Closeout¹ Summary
SHOPP Projects²**



¹Project closeout reflects projects where construction contract was complete two quarters ago.

²Appendix B, 48 SHOPP Completed Projects at Closeout.