

Memorandum

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: December 5–6, 2018

Reference No: 3.10
Information Item

From: STEVEN KECK
Chief Financial Officer

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Division of Aeronautics

Subject: **FISCAL YEAR 2018–19-FIRST QUARTER REPORT-AERONAUTICS ACQUISITION AND DEVELOPMENT PROGRAM AND AIRPORT IMPROVEMENT PROGRAM**

SUMMARY:

The attached report is the California Department of Transportation’s (Department), Division of Aeronautics Fiscal Year 2018–19 First Quarter Report for the Acquisition and Development (A&D) and Airport Improvement Program (AIP) Matching Grants Programs, which will be presented as an informational item at the California Transportation Commission’s (Commission) December 2018 meeting.

BACKGROUND:

The Department’s A&D and AIP Matching Grants Programs are funded by the Aeronautics Account in the State Transportation Fund. They are prepared in accordance with the California Public Utilities Code (PUC), Sections 21683 and 21706.

Section 21683.20 of the PUC provides that the Department, upon allocation by the Commission, may provide a matching grant to a public entity up to five percent of the amount of a federal AIP Grant. Each year the Commission approves a lump sum to match AIP grants. This allocation provides the authority for the Department to subvent matching funds to individual projects as requested by airport sponsors.

The Department’s A&D Program is a biennial two-year program providing state grants to airports for planning, construction, and land acquisition. A&D projects are state-funded at 90 percent of the total project cost with a 10 percent local match required.

The Department provides the Commission with quarterly reports on the status of all sub-allocations made for state AIP matching grant funds and the status of all projects in the A&D Program. It should be noted the Aeronautics Account is a continuously appropriated account, and any unused funds would revert to the Aeronautics account for use in future fiscal years.

Attachment



**Fiscal Year 2018–2019
First Quarter Report**

Division of Aeronautics

**Acquisition and Development Grants
And
Airport Improvement Program
Matching Grants Programs**

**Quarterly Report to the
California Transportation Commission**

ACQUISITION AND DEVELOPMENT PROGRAM

BACKGROUND

The California Department of Transportation’s (Caltrans) Acquisition and Development (A&D) Grants Program is a biennial, two-year program providing state grants to eligible publicly-owned, public-use airports for planning, construction, and land acquisition. The current A&D Program covers Fiscal Years 2018–19 through 2019–20.

The A&D Program is funded by the Aeronautics Account in the State Transportation Fund. It is prepared in accordance with California Public Utilities Code, sections 21683 and 21706. Local agency project requests are categorized and ranked based on criteria set by the California Transportation Commission (Commission). Eligible project categories include enhancing safety, capacity, and security, as well as preparing Airport Land Use Compatibility Plans (ALUCP).

A&D projects are state funded at 90 percent of the total project cost with a 10 percent local match required.

PROGRAM SUMMARY

This report is for Caltrans’ A&D Program for the First Quarter of the 2018–19 Fiscal Year. This report includes the status of the unallocated, allocated, and completed projects.

There are a total of 36 projects, valued at \$6.0 million, currently in the A&D Program. Of the 36 projects, nine are allocated (valued at \$2.0 million) and one project has been completed this quarter. A total of 26 projects are still unallocated (valued at \$4.0 million). A fund transfer was not authorized by the Department of Finance for the A&D Program, resulting in all 26 projects from the 2018 A&D Program to remain unallocated as of this report.

The table below shows the summary of the actions that were taken during this quarter.

Meeting Date	Actions Taken
8/16/2018	Adoption of the 2018 A&D Program

The following spreadsheets include the status of unallocated projects and allocated projects.

Acquisition and Development Projects Status and Detail

Unallocated Projects

FY 2018–19

District	Airport	Program Fiscal Year	County	Project Description	Project Status	Allocation Date	Notice to Proceed Date	Programmed Amount	Total Expenditure to Date	Estimated Date of Completion	Schedule
2	Southard Field	18-19	Lassen	Crack Seal and Restripe Runway and Taxiway	Unallocated			\$73,000			
2	Spaulding	18-19	Lassen	Pavement Maintenance and Remarking, Runway, Taxiway, and Tie-down	Unallocated			\$76,000			
6	Poso-Kern	18-19	Kern	Crack Fill and Slurry Seal Partial Runway	Unallocated			\$135,000			
8	Fort Bidwell	18-19	Modoc	Engineering, Design, and Add New Gravel for Runway	Unallocated			\$45,000			
7	Whiteman	18-19	Los Angeles	Pavement Repair and Maintenance – Crack Sealing/Patching	Unallocated			\$45,000			
7	Compton/Woodley	18-19	Los Angeles	Pavement Repair and Maintenance – Crack Sealing/Patching	Unallocated			\$45,000			
4	Nut Tree	18-19	Solano	Tree Obstruction Removal	Unallocated			\$149,000			
1	Adin	18-19	Modoc	Engineering Design and Repave Taxiway Tie Down Apron Areas	Unallocated			\$270,000			
2	Montague, Yreka Rohrer Field	18-19	Siskiyou	Resurface Taxiway and Ramps	Unallocated			\$540,000			
9	Shoshone	18-19	Inyo	Replace Runway Lighting Control System	Unallocated			\$32,000			
9	Shoshone	18-19	Inyo	Segmented Circle	Unallocated			\$21,000			
2	Montague, Yreka Rohrer Field	18-19	Siskiyou	Automated Weather Observing System New	Unallocated			\$72,000			
9	Mammoth Yosemite	17-18	Mono	Airport Land Use Compatibility Plan (ALUCP) Update	Unallocated			\$90,000			
5	Salinas Municipal	17-18	Monterey	ALUCP Update	Unallocated			\$159,000			
2	Susanville Municipal	17-18	Lassen	ALUCP Update	Unallocated			\$251,000			
Total								\$2,003,000			

Acquisition and Development Projects Status and Detail

Unallocated Projects

FY 2019–20

District	Airport	Program Fiscal Year	County	Project Description	Project Status	Allocation Date	Notice to Proceed Date	Total Allocation	Total Expenditure to Date	Estimated Date of Completion	Schedule
1	Andy McBeth	19-20	Del Norte	Overlay and Restripe Runway and Restripe Apron Pavement	Unallocated			\$428,000		1	
1	Ward Field	19-20	Del Norte	Runway Slurry Seal and Restripe Runway and Apron	Unallocated			\$225,000			
10	Alpine County	19-20	Alpine	Chip Seal and Restripe Runway	Unallocated			\$126,000			
11	Cliff Hatfield Memorial	19-20	Imperial	Airport Runway Maintenance	Unallocated			\$180,000			
6	Taft	19-20	Kern	Runway Pavement Rehabilitation and Restripe	Unallocated			\$315,000			
1	Samoa Field	19-20	Humboldt	Resurface Runway-Repaint Markings	Unallocated			\$126,000			
9	Bryant Field	19-20	Mono	Install Obstruction Lights	Unallocated			\$45,000			
1	Samoa Field	19-20	Humboldt	Removal/Pruning Willow Stand	Unallocated			\$45,000			
11	Imperial County	19-20	Imperial	ALUCP Update	Unallocated			\$251,000			
2	Siskiyou	19-20	Siskiyou	ALUCP Update	Unallocated			\$251,000			
8	Chino	19-20	San Bernardino	ALUCP Update	Unallocated			\$54,000			

Total \$2,046,000

**Total Projects In
2-Year Program = 26**

Total Unallocated \$4,049,000

Acquisition and Development Projects Status and Detail

Allocated Projects

District	Airport	Program Fiscal Year	County	Project Description	Project Status	Allocation Date	Notice to Proceed Date	Total Allocation	Total Expenditure to Date	Estimated Date of Completion	Behind Schedule
2	Trinity Center	14-15	Trinity	Slurry Seal Apron, Taxiway Area, and Restripe Pavement	Progress Pay	5/28/2015	11/15/2016	\$90,000	\$68,875	5/28/2019	X
5	Marina	15-16	Monterey	ALUCP	Progress Pay	8/27/2015	6/14/2016	\$162,000	\$55,310	8/1/2019	
5	Santa Barbara	15-16	Santa Barbara	ALUCP Study and Environmental Review County-wide	Progress Pay	12/9/2015	9/15/2016	\$140,000	\$72,301	12/9/2019	
3	Lake Tahoe	15-16	El Dorado	ALUCP	Progress Pay	6/29/2016	6/6/2017	\$170,000	\$79,488	6/29/2020	
6	Fresno County	15-16	Fresno	ALUCP	Progress Pay	6/29/2016	6/12/2017	\$270,000	\$194,930	6/29/2020	
9	Shoshone	16-17	Inyo	Runway 15/33 Crack Repairs, Slurry Seal, Marking	Progress Pay	5/18/2017	3/14/2018	\$180,000	\$0	5/18/2021	
1	Shelter Cove	16-17	Humboldt	Slurry Seal Taxiway/Miscellaneous Pavement	Plans, Specifications & Estimates (PS&E)	5/18/2017		\$192,000	0	5/18/2021	X
3	Sierraville Dearwater	16-17	Sierra	Reconstruct Tie-down Area	Progress Pay	5/18/2017	5/3/2018	\$489,000	0	5/18/2021	
1	Arcata	16-17	Humboldt	ALUCP Update	Progress Pay	5/18/2017	5/8/2018	\$250,000	0	5/18/2021	
Total Projects 9						Total		\$1,943,000	\$470,904		

Acquisition and Development Projects Status and Detail

Completed Projects

District	Airport	Program Year	County	Project Description	Project Status	Allocation Date	Notice to Proceed Date	Total Allocation	Total Expenditure to Date	Date of Completion
4	Rio Vista	14-15	Solano	ALUCP	Complete	3/26/2015	2/9/2016	\$144,000	\$123,870	8/21/2018
Total Projects 1							Total	\$144,000	\$123,870	

**Acquisition and Development Status and Detail
 Projects Behind Schedule**

The following allocated projects are behind schedule:

Airport County Project Description	Status	Estimated Date of Completion
Trinity Center Airport Trinity County Slurry Seal Apron, Taxiway Area, and Restripe Pavement	The project was granted an extension due to a county staffing shortage to complete the Plans, Specifications and Estimates phase after wildfires in 2015. The project has been awarded. The project is in construction with 90 percent complete, and one progress payment is paid.	5/28/2019
Shelter Cove Airport Humboldt County Slurry Seal Taxiway/Miscellaneous Pavement	The project was granted an extension due to a sudden departure of consultant.	5/28/2020

FEDERAL AIRPORT IMPROVEMENT PROGRAM MATCHING GRANTS

BACKGROUND:

The California Department of Transportation (Caltrans), Division of Aeronautics' AIP Matching Grants Program (Program) is funded by the Aeronautics Account in the State Transportation Fund. It is prepared in accordance with the California Public Utilities Code (PUC), sections 21683 and 21706.

Section 21683.1 of the PUC provides that Caltrans, upon allocation by the California Transportation Commission (Commission), may provide a matching grant to a public entity up to five percent of the amount of a federal AIP grant.

Each year the Commission approves a lump sum to match Federal Aviation Administration (FAA) AIP grants. This allocation provides the authority for Caltrans to subvent matching funds to individual projects as requested by airport sponsors.

Caltrans provides the Commission with quarterly reports on the status of all sub-allocations made for state AIP Matching grant funds. It should be noted that the Aeronautics Account is a continuously appropriated account, and any unused funds revert to the Aeronautics Account for use in future fiscal years.

STATUS:

At its June 2018 meeting, the Commission allocated \$1.4 million for the AIP Matching Grant Program for Fiscal Year 2018–19. To assist as many airports as possible, a \$50,000 maximum per project is in effect for the current Program. As of the First Quarter, Caltrans has sub-allocated a total of \$168 thousand toward four projects.

Federal AIP Matching Grants

Airport	Sponsor	Project Description	State Match Amount	State Grant Date	Total Project Costs
Southern California Logistics	Southern California Logistics Authority	Reconstruct Runway 17/35 (Phase II); Install Guidance Signs	\$50,000	8/15/2018	\$8,136,286
Jacqueline Cochran	County of Riverside	Rehabilitate Runway 17/35, Taxiway F and Associated Connectors	\$50,000	9/27/2018	\$1,278,246
Benton Airpark	City of Redding	1. Construct New Perimeter Vehicle Service Road 2. Rehabilitate Parallel Taxiway "B"1	\$50,000	9/25/2018	\$1,227,090
Sequoia (Dinuba)	County of Tulare	Install: All Weather Observation System; Precision approach path indicators PAPI-2 for runway 13/31; Runway end indication lights for runway 13/31	\$17,988	9/25/2018	\$399,740
			\$167,988		